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## Non-Archimedean Umbral Calculus

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### Abstract

Let  $K$  be a non-archimedean valued field which contains  $\mathbb{Q}_p$ , and suppose that  $K$  is complete for the valuation  $|\cdot|$ , which extends the  $p$ -adic valuation. We find many orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$ , the Banach space of continuous functions from  $\mathbb{Z}_p$  to  $K$ , equipped with the supremum norm. To find these bases, we use continuous linear operators on  $C(\mathbb{Z}_p \rightarrow K)$ . Some properties of these continuous linear operators are established. In particular we look at operators which commute with the translation operator.

### 1. Introduction

Let  $p$  be a prime number and let  $\mathbb{Z}_p$  be the ring of the  $p$ -adic integers,  $\mathbb{Q}_p$  the field of the  $p$ -adic numbers, and  $K$  is a non-archimedean valued field that contains  $\mathbb{Q}_p$ , and we suppose that  $K$  is complete for the valuation  $|\cdot|$ , which extends the  $p$ -adic valuation.  $\mathbb{N}$  denotes the set of natural numbers, and  $K[x]$  is the set of polynomials with coefficients in  $K$ . In this paper we find many orthonormal bases for the Banach space  $C(\mathbb{Z}_p \rightarrow K)$  of continuous functions from  $\mathbb{Z}_p$  to  $K$ . To find these bases we use continuous linear operators on  $C(\mathbb{Z}_p \rightarrow K)$ . We also establish some properties of these operators. In particular we look at operators which commute with the translation operator. We start by recalling some definitions and some previous results.

#### Definition 1.1

*A sequence of polynomials  $(p_n)$  is called a polynomial sequence if the degree of  $p_n$  is  $n$  for every  $n \in \mathbb{N}$ .*

In the classical umbral calculus ([3] and [4]) one works with linear operators operating on  $\mathbf{R}[x]$ , the space of polynomials with coefficients in  $\mathbf{R}$ . We define the shift-operators  $E^\alpha$  on  $\mathbf{R}[x]$  by  $(E^\alpha p)(x) = p(x + \alpha)$ , where  $\alpha \in \mathbf{R}$ . Linear operators  $Q$  which commute with  $E^\alpha$  are called shift-invariant operators and they have been studied extensively in the classical umbral calculus. Such a linear operator  $Q$  is called a delta-operator if  $Q$  commutes with  $E^\alpha$  and if  $Qx$  is a constant different from zero. If  $Q$  is a delta-operator, there exists a unique polynomial sequence  $(p_n)$  such that  $Qp_n = np_{n-1}$ ,  $p_n(0) = 0$  ( $n \geq 1$ ),  $p_0 = 1$ . This sequence is called the sequence of basic polynomials for the delta-operator or simply the basic sequence for  $Q$ . If  $R$  is a shift-invariant operator and  $Q$  is a delta-operator with basic sequence  $(p_n)$ , then  $R = \sum_{k \geq 0} \frac{a_k}{k!} Q^k$  with  $a_k = (Rp_k)(0)$ . An umbral operator  $U$  is an operator which maps a basic sequence  $(p_n)$  into another basic sequence  $(q_n)$ , i.e.  $Up_n = q_n$  for all  $n \in \mathbf{N}$ . Remark that an umbral operator is an operator which is in general not shift-invariant.

Now we look at the non-archimedean case. Let  $\mathcal{L}$  be a non-archimedean Banach space over a non-archimedean valued field  $L$ ,  $\mathcal{L}$  equipped with the norm  $\|\cdot\|$ . A family  $(f_i)$  of elements of  $\mathcal{L}$  forms an orthonormal basis for  $\mathcal{L}$  if each element  $x$  of  $\mathcal{L}$  has a unique representation  $x = \sum_{i=0}^{\infty} x_i f_i$  where  $x_i \in L$  and  $x_i \rightarrow 0$  if  $i \rightarrow \infty$ , and if the norm of  $x$  is the supremum of the valuations of  $x_i$ . If  $M$  is a non-empty compact subset of  $L$  without isolated points, then  $C(M \rightarrow L)$  is the Banach space of continuous functions from  $M$  to  $L$  equipped with the supremum norm  $\|\cdot\|_\infty : \|f\|_\infty = \sup\{|f(x)| \mid x \in M\}$ .

Let  $\mathbf{Z}_p$ ,  $K$  and  $C(\mathbf{Z}_p \rightarrow K)$  be as above and let  $I$  denote the identity operator on  $C(\mathbf{Z}_p \rightarrow K)$ . All the following results in this section can be found in [6], except mentioned otherwise. The translation operator  $E$  and its generalisation  $E^\alpha$  are defined on  $C(\mathbf{Z}_p \rightarrow K)$  as follows

$$(Ef)(x) = f(x + 1),$$

$$(E^\alpha f)(x) = f(x + \alpha), \quad \alpha \in \mathbf{Z}_p.$$

The difference operator  $\Delta$  on  $C(\mathbf{Z}_p \rightarrow K)$  is defined by

$$(\Delta f)(x) = f(x + 1) - f(x) = (Ef)(x) - f(x).$$

The operator  $\Delta$  has the following properties : if  $f : \mathbf{Z}_p \rightarrow K$  is a continuous function and  $\Delta^n f = 0$ , then  $f$  is a polynomial of degree not greater than  $n$ . If  $p$  is a polynomial of degree  $n$  in  $K[x]$ , then  $\Delta p$  is a polynomial of degree  $n - 1$ . If  $f : \mathbf{Z}_p \rightarrow K$  is a continuous function then

$$(\Delta^n f)(x) \rightarrow 0 \text{ uniformly in } x \quad (1.1)$$

([5], exercise 52.D p. 156).

We introduce the polynomial sequence  $(B_n)$  defined by

$$B_n(x) = \binom{x}{n},$$

where

$$\binom{x}{0} = 1, \quad \binom{x}{n} = \frac{x(x-1)\dots(x-n+1)}{n!} \text{ if } n \geq 1.$$

The polynomials  $\binom{x}{n}$  are called the binomial polynomials. If  $Q$  is an operator on  $C(\mathbb{Z}_p \rightarrow K)$ , we put

$$b_n = (QB_n)(0) \quad n = 0, 1, \dots$$

L. Van Hamme ([6], proposition) proved the following :

**Theorem 1.2**

*If  $Q$  is continuous, linear and commutes with  $E$  then the sequence  $(b_n)$  is bounded and  $Q$  is uniquely determined by the sequence  $(b_n)$ .*

Such an operator  $Q$  which is linear, continuous and commutes with  $E$  admits an expansion of the form

$$Q = \sum_{i=0}^{\infty} b_i \Delta^i.$$

This expansion is called the  $\Delta$ -expansion of the operator  $Q$ ,  $\Delta^0 = I$ . The equality holds for the pointwise convergence and not for the convergence in operator norm. Conversely, every operator of the form  $Q = \sum_{i=0}^{\infty} b_i \Delta^i$  with bounded sequence  $(b_n)$  in  $K$  is linear, continuous and commutes with  $E$ . Further,

$$\|Q\| = \sup_{n \geq 0} \{|b_n|\} \quad (1.2)$$

where  $\|Q\|$  denotes the norm of the operator  $Q$  :

$$\|Q\| = \inf \{J \in [0, \infty) : \|Qf\|_{\infty} \leq J \|f\|_{\infty} \mid f \in C(\mathbb{Z}_p \rightarrow K)\}.$$

We remark that in the classical umbral calculus one considers linear operators working on the space of polynomials  $\mathbb{R}[x]$ , and so there are no convergence problems for operators on  $\mathbb{R}[x]$  of the type  $R = \sum_{k \geq 0} \frac{a_k}{k!} Q^k$ . This is different from what we do here, since here we consider linear operators on the Banach space  $C(\mathbb{Z}_p \rightarrow K)$  into itself.

**Remarks**

- 1) Let  $Q = \sum_{i=N}^{\infty} b_i \Delta^i$ , ( $N \geq 0$ ), with  $b_N \neq 0$ . If  $p$  is a polynomial, then  $Qp$  is a polynomial. If  $p$  is a polynomial of degree  $n \geq N$ , then the degree of the polynomial  $Qp$  is  $n - N$ . If  $p$  is a polynomial of degree  $n < N$ , then  $Qp$  is the zero polynomial.
- 2) The set of all continuous linear operators on  $C(\mathbb{Z}_p \rightarrow K)$  that commute with  $E$  forms a ring under addition and composition. This ring is isomorphic to the ring of formal power series with bounded coefficients in  $K$ .
- 3) Let  $Q$  and  $R$  be continuous linear operators that commute with  $E$ . Then  $QR = RQ$ . If  $Q$  is a continuous linear operator that commutes with  $E$ , then  $Q$  also commutes with  $E^\alpha$ .
- 4) If  $Q$  is a continuous linear operator that commutes with  $E$ , then  $Q$  has an inverse

which is also linear, continuous and commutes with  $E$  if and only if  $\|Q\| = |b_0| \neq 0$ . If in addition  $|b_0| = 1$ , then  $\|Q\| = \|Q^{-1}\| = 1 = |(Q^{-1}B_0)(0)|$ . This can be found in [1], corollaire p. 16.06.

### Definition 1.3

*A delta-operator is a continuous linear operator on  $C(\mathbf{Z}_p \rightarrow K)$  which commutes with the translation operator  $E$ , and such that the polynomial  $Qx$  is a constant different from zero.*

L. Van Hamme proved (see [6], theorem)

### Theorem 1.4

*If  $Q$  is a continuous linear operator on  $C(\mathbf{Z}_p \rightarrow K)$  that commutes with  $E$ , such that  $b_0 = 0$ ,  $|b_1| = 1$ ,  $|b_n| \leq 1$  for  $n \geq 2$ , then*

1) *there exists a unique polynomial sequence  $(p_n)$  such that*

$$Qp_n = p_{n-1} \text{ if } n \geq 1, \quad p_n(0) = 0 \text{ if } n \geq 1 \text{ and } p_0 = 1,$$

2) *every continuous function  $f : \mathbf{Z}_p \rightarrow K$  has a uniformly convergent expansion of the form*

$$f = \sum_{n=0}^{\infty} (Q^n f)(0) p_n$$

where

$$\|f\|_{\infty} = \max_{n \geq 0} \{|(Q^n f)(0)|\}.$$

It is easy to see that the operator  $Q$  of the theorem is a delta-operator. Just as in the classical case, we'll call the sequence  $(p_n)$  the basic sequence for the operator  $Q$ . Remark that here we have  $Qp_n = p_{n-1}$ , instead of  $Qp_n = np_{n-1}$  which is used in the classical umbral calculus.

### Remarks

1) The sequence  $(p_n)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ . In the classical case, the basic sequence for the delta-operator forms a basis for  $\mathbf{R}[x]$ . So this theorem is an extension of the classical case.

2) The polynomial sequence that corresponds with the operator  $\Delta$  is the sequence  $(\binom{x}{n})$  which is known as Mahler's basis for  $C(\mathbf{Z}_p \rightarrow K)$  ([2]). If  $f$  is an element of  $C(\mathbf{Z}_p \rightarrow K)$ , we have  $f(x) = \sum_{n=0}^{\infty} (\Delta^n f)(0) \binom{x}{n}$ .

### An example

Let  $Q$  be the operator  $Q = \sum_{i=1}^{\infty} \Delta^i$ , then we find for the unique polynomial sequence  $(p_n) : p_0(x) = 1$  and  $p_n(x) = \sum_{i=1}^n (-1)^{n-i} \binom{x}{i} \binom{n-1}{i-1}$  if  $n \geq 1$ . We show

this by (double) induction. For  $n$  equal to zero or one this is obvious. Suppose the statement is true for  $n$ , then we prove it is also true for  $n + 1$ . We have to prove that

$$\sum_{j=1}^{n+1} \Delta^j \sum_{i=1}^{n+1} (-1)^{n+1-i} \binom{x}{i} \binom{n}{i-1} = \sum_{i=1}^n (-1)^{n-i} \binom{x}{i} \binom{n-1}{i-1}$$

Now the expression on the left-hand-side equals

$$\begin{aligned} & \sum_{j=1}^{n+1} \sum_{i=j}^{n+1} (-1)^{n+1-i} \binom{x}{i-j} \binom{n}{i-1} \\ &= \sum_{j=1}^{n+1} \sum_{k=0}^{n+1-j} (-1)^{n+1-j-k} \binom{x}{k} \binom{n}{k+j-1} \text{ (where } k = i - j) \\ &= \sum_{k=0}^n \binom{x}{k} \sum_{j=1}^{n+1-k} (-1)^{n+1-j-k} \binom{n}{k+j-1}. \end{aligned}$$

And so we have to prove that, if  $0 \leq k \leq n$ ,

$$\sum_{j=1}^{n+1-k} (-1)^{1-j} \binom{n}{k+j-1} = \binom{n-1}{k-1} \tag{1.3}$$

where we put  $\binom{n}{-1}$  equal to zero. We prove this by induction on  $k$ . For  $k$  equal to  $n$  this is obvious. Now suppose it holds for  $k = s + 1$  ( $0 \leq s \leq n - 1$ ), then we show that it holds for  $k = s$ . Expression (1.3) for  $k$  equal to  $s + 1$  gives us  $\sum_{j=1}^{n-s} (-1)^{1-j} \binom{n}{s+j} = \binom{n-1}{s}$  and if we put  $j + 1 = t$  this gives

$$\sum_{t=2}^{n+1-s} (-1)^t \binom{n}{s-1+t} = \binom{n-1}{s} \tag{1.4}$$

The left-hand-side of (1.3) for  $k$  equal to  $s$  is  $-\sum_{j=1}^{n+1-s} (-1)^j \binom{n}{s+j-1}$  and with the aid of (1.4) this equals  $\binom{n}{s} - \binom{n-1}{s} = \binom{n-1}{s-1}$  which is the right-hand side for (1.3) for  $k$  equal to  $s$ . This finishes the proof.

## 2. Orthonormal Bases for $C(\mathbb{Z}_p \rightarrow K)$

In this section we are going to construct some orthonormal bases for the Banach space  $C(\mathbb{Z}_p \rightarrow K)$ . To do this we'll need the following theorem :

### Theorem 2.1

Let  $(p_n)$  be a polynomial sequence in  $C(\mathbb{Z}_p \rightarrow K)$ , which forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ , and let  $(r_n)$  be a polynomial sequence in  $C(\mathbb{Z}_p \rightarrow K)$  such that

$$r_n = \sum_{j=0}^n e_{n,j} p_j, \quad e_{n,j} \in K.$$

Then the following are equivalent :

- 1)  $(r_n)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ ,
- 2)  $\|r_n\|_\infty = 1$ ,  $|e_{n,n}| = 1$ ,  $n = 0, 1, \dots$ ,
- 3)  $|e_{n,j}| \leq 1$ ,  $|e_{n,n}| = 1$ ,  $n = 0, 1, \dots; 0 \leq j \leq n$ .

Proof

This follows from [7], theorem 3, by putting  $M = \mathbf{Z}_p$   $\square$

If  $(\alpha_n)$  is a sequence in  $\mathbf{Z}_p$ , then it is easy to see that the polynomial sequence  $((\binom{x-\alpha_n}{n}))$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ . To see this, put  $p_j = B_j = \binom{x}{j}$  in theorem 2.1 ( $j = 0, 1, \dots$ ). Further, if  $k \leq n$ ,  $\Delta^k \binom{x-\alpha}{n} = \binom{x-\alpha}{n-k}$  since the sequence  $((\binom{x}{n}))$  is of *binomial type* (see [1], p.16.06, lemme 1 and théorème 5).

We'll need the next two lemma's to prove the main theorem of this section.  $\deg p$  denotes the degree of the polynomial  $p$ .

### Lemma 2.2

Let  $N$  be a natural number different from zero, let  $\alpha$  be a fixed element of  $\mathbf{Z}_p$  and let  $p$  be a polynomial in  $K[x]$  such that  $p(\alpha + i) = 0$  if  $0 \leq i < N$ . Then  $(\Delta^k p)(\alpha) = 0$  if  $0 \leq k < N$ .

Proof

If  $\deg p < N$ , there is nothing to prove. Now suppose  $\deg p = n \geq N$ . We can write  $p$  in the following way :  $p(x) = \sum_{j=N}^n b_j \binom{x-\alpha}{j}$  since  $p(\alpha + i) = 0$  if  $0 \leq i < N$ . Then, for  $0 \leq k < N$ ,  $(\Delta^k p)(x) = \sum_{j=N}^n b_j \binom{x-\alpha}{j-k}$  (remarks following theorem 2.1) and so  $(\Delta^k p)(\alpha) = 0$  if  $0 \leq k < N$   $\square$

### Lemma 2.3

Let  $Q = \sum_{i=N}^\infty b_i \Delta^i$ ,  $b_N \neq 0$ ,  $N \geq 1$ ,  $(b_n)$  a bounded sequence in  $K$ , and let  $\alpha$  be a fixed element of  $\mathbf{Z}_p$ . Then there exists a unique polynomial sequence  $(p_n)$  such that  $(Qp_n) = p_{n-N}$  if  $n \geq N$ ,  $p_n(\alpha + i) = 0$  if  $n \geq N$ ,  $0 \leq i < N$ , and  $p_n(x) = \binom{x-\alpha}{n}$  if  $n < N$ .

Proof

The series  $(p_n)$  is constructed by induction. For  $n = 0, 1, \dots, N-1$  there is nothing to prove. Suppose that  $p_0, p_1, \dots, p_{n-1}$  ( $n \geq N$ ) have already been constructed. Write  $p_n$  in the following way :

$$p_n(x) = a_n x^n + \sum_{i=0}^{n-1} a_i p_i(x).$$

Since  $p_n$  is a polynomial of degree  $n \geq N$ ,  $Qp_n$  is a polynomial of degree  $n - N$ . Put  $Qx^n = P(x)$ , a polynomial of degree  $n - N$ . So  $Qp_n = a_n P + \sum_{i=N}^{n-1} a_i p_{i-N}$  and this equals  $p_{n-N}$ . This gives us the coefficients  $a_n, a_{n-1}, \dots, a_N$ . The fact that  $p_n(\alpha + i)$  must equal zero for  $0 \leq i < N$  gives us the coefficients  $a_0, a_1, \dots, a_{N-1}$  :

$$0 = p_n(\alpha + i) = a_n(\alpha + i)^n + \sum_{j=0}^{N-1} a_j p_j(\alpha + i) = a_n(\alpha + i)^n + \sum_{j=0}^{N-1} a_j \binom{i}{j}.$$

From this it follows that the polynomial sequence  $(p_n)$  exists and is unique  $\square$

Now we are ready to prove the main theorem of this section.

**Theorem 2.4**

Let  $Q = \sum_{i=N}^{\infty} b_i \Delta^i$ ,  $N \geq 1$  with  $|b_N| = 1$ ,  $|b_n| \leq 1$  if  $n > N$ , and let  $\alpha$  be an arbitrary but fixed element of  $\mathbb{Z}_p$ .

1) There exists a unique polynomial sequence  $(p_n)$  such that

$$\begin{aligned} Qp_n &= p_{n-N} \text{ if } n \geq N, \\ p_n(\alpha + i) &= 0 \text{ if } n \geq N, \quad 0 \leq i < N, \\ p_n(x) &= \binom{x - \alpha}{n} \text{ if } n < N. \end{aligned}$$

This sequence forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ .

2) If  $f$  is an element of  $C(\mathbb{Z}_p \rightarrow K)$ , there exists a unique, uniformly convergent expansion of the form

$$f = \sum_{n=0}^{\infty} c_n p_n$$

where

$$c_n = (\Delta^i Q^k f)(\alpha) \text{ if } n = i + kN \quad 0 \leq i < N,$$

with

$$\|f\| = \max_{0 \leq k, 0 \leq i < N} \{ |(\Delta^i Q^k f)(\alpha)| \}.$$

**Proof**

1) The existence and the uniqueness of the sequence follows from lemma 2.3. We only have to prove that the sequence forms an orthonormal basis. We give a proof by induction on  $n$ , using theorem 2.1. We put

$$p_n = \sum_{j=0}^n c_{n,j} C_j, \text{ where } C_j(x) = \binom{x - \alpha}{j}.$$

The sequence  $(C_j)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ , see the remarks following theorem 2.1. If we apply theorem 2.1 on the sequence  $(C_j)$  we find the following :

$(p_n)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$

if and only if  $|c_{n,j}| \leq 1$ ,  $|c_{n,n}| = 1$   $n = 0, 1, \dots, 0 \leq j \leq n$ .

We prove that  $|c_{n,j}| \leq 1$ ,  $|c_{n,n}| = 1$  by induction on  $n$ . For  $n = 0, 1, \dots, N-1$  the assertion clearly holds. Suppose it holds for  $i = 0, \dots, n-1$ ,  $n \geq N$ , then  $p_n = \sum_{j=0}^n c_{n,j} C_j = \sum_{j=N}^n c_{n,j} C_j$  since  $p_n(\alpha + i) = 0$  for  $0 \leq i < N$ . So  $|c_{n,j}| \leq 1$  for  $0 \leq j < N$ .  $Qp_n = p_{n-N} = \sum_{j=0}^{n-N} c_{n-N,j} C_j$  where  $|c_{n-N,n-N}| = 1$ ,  $|c_{n-N,j}| \leq 1$ ,  $0 \leq j \leq n-N$  by the induction hypothesis.

$$\begin{aligned} \text{Now } Qp_n &= \sum_{k=N}^n b_k \Delta^k \sum_{j=N}^n c_{n,j} C_j \\ &= \sum_{j=N}^n c_{n,j} \sum_{k=N}^j b_k C_{j-k} && (\text{since } \Delta^k C_j = C_{j-k}) \\ &= \sum_{j=0}^{n-N} c_{n,j+N} \sum_{k=0}^j b_{k+N} C_{j-k} \\ &= \sum_{j=0}^{n-N} c_{n,j+N} \sum_{k=0}^j b_{j-k+N} C_k \\ &= \sum_{k=0}^{n-N} C_k \sum_{j=k}^{n-N} b_{j-k+N} c_{n,j+N}. \end{aligned}$$

If  $k = n - N$ , then, since  $Qp_n = p_{n-N}$ ,

$$b_N c_{n,n} = c_{n-N,n-N}$$

so  $|c_{n,n}| = 1$ . If  $n = N$  we may stop here. If  $n > N$ , we proceed by subinduction. Suppose, if  $0 \leq k < n - N$ , that then  $|c_{n,j+N}| \leq 1$  if  $k < j \leq n - N$ . Since  $Qp_n = p_{n-N}$ , it follows that  $\sum_{j=k}^{n-N} b_{j-k+N} c_{n,j+N} = c_{n-N,k}$ , which implies that

$$b_N c_{n,k+N} = c_{n-N,k} - \sum_{j=k+1}^{n-N} b_{j-k+N} c_{n,j+N}.$$

Then  $|c_{n,k+N}| \leq |b_N|^{-1} \max\{|c_{n-N,k}|, \max_{k < j \leq n-N} |b_{j-k+N} c_{n,j+N}|\} \leq 1$ , which we wanted to prove. This finishes the proof of 1).

2) Let  $f$  be an element of  $C(\mathbf{Z}_p \rightarrow K)$ . Since the sequence  $(p_n)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ , there exists coefficients  $c_n$  such that  $f = \sum_{n=0}^{\infty} c_n p_n$  uniformly. We prove that  $c_n$  equals  $(\Delta^i Q^k f)(\alpha)$  if  $n$  equals  $i + kN$ ,  $0 \leq i < N$ . Since  $f = \sum_{n=0}^{\infty} c_n p_n$ , we have

$$(Q^k f) = \sum_{n=kN}^{\infty} c_n p_{n-kN} = \sum_{n=0}^{N-1} c_{n+kN} \binom{x-\alpha}{n} + \sum_{n=N}^{\infty} c_{n+kN} p_n.$$

If we put  $\sum_{n=N}^{\infty} c_{n+kN} p_n = \hat{f}$ , then  $(\Delta^i \hat{f})(\alpha) = 0$  by lemma 2.2. Further, since  $\Delta^i \binom{x-\alpha}{n} = 0$  if  $i > n$ ,  $\Delta^i \binom{x-\alpha}{n} = \binom{x-\alpha}{n-i}$  if  $i \leq n$ , and in particular  $\Delta^i \binom{x-\alpha}{i} = 1$ ,

we have  $(\Delta^i Q^k f)(\alpha) = c_{i+kN}$ . This gives us the coefficients  $c_n$ . Since  $(p_n)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ , it follows that

$$\|f\| = \max_{0 \leq k, 0 \leq i < N} \{ |(\Delta^i Q^k f)(\alpha)| \}.$$

□

**An example**

Let  $Q$  be the operator  $Q = \sum_{i=2}^{\infty} \Delta^i$  and put  $\alpha = 0$ . Then we find for the unique polynomial sequence  $(p_n)$

$$p_0(x) = 1$$

and

$$p_{2n+1}(x) = \sum_{k=n+1}^{2n+1} (-1)^{k-1} \binom{x}{k} \binom{n}{2n+1-k} \text{ if } n \geq 0$$

$$p_{2n+2}(x) = \sum_{k=n+2}^{2n+2} (-1)^k \binom{x}{k} \binom{n}{2n+2-k} \text{ if } n \geq 0$$

The proof is more or less analogous to the proof of the example in the introduction.

We want to construct more orthonormal bases for  $C(\mathbf{Z}_p \rightarrow K)$ . To do this we need the following lemma

**Lemma 2.5**

Let  $Q = \sum_{i=N}^{\infty} b_i \Delta^i$  ( $N \geq 0$ ) with  $1 = |b_N| \geq |b_n|$  if  $n > N$ , and let  $p$  be a polynomial in  $K[x]$  of degree  $n \geq N$ ,  $p(x) = \sum_{j=0}^n c_j \binom{x}{j}$  where  $|c_j| \leq 1$ ,  $0 \leq j < n$ ,  $|c_n| = 1$ . Then  $Qp = r$  where  $r(x) = \sum_{j=0}^{n-N} a_j \binom{x}{j}$  with  $|a_j| \leq 1$ ,  $0 \leq j < n - N$ ,  $|a_{n-N}| = 1$ .

**Proof**

It is clear that  $r$  is a polynomial of degree  $n - N$ . Then

$(Qp)(x) = \sum_{i=N}^n b_i \Delta^i \sum_{j=0}^n c_j \binom{x}{j} = \sum_{i=N}^n b_i \sum_{j=i}^n c_j \binom{x}{j-i} = r(x)$ . Now  $\|Qp\|_{\infty} = \|r\|_{\infty}$ . Since  $|c_j| \leq 1$  and  $|b_i| \leq 1$  ( $i \geq N, 0 \leq j \leq n$ ) we have  $\|Qp\|_{\infty} \leq 1$  and so  $\|r\|_{\infty} \leq 1$ . If  $r(x) = \sum_{j=0}^{n-N} a_j \binom{x}{j}$ , then we must have  $|a_j| \leq 1$  if  $0 \leq j \leq n - N$  (otherwise  $\|r\|_{\infty} > 1$ ). So it suffices to prove that  $|a_{n-N}| = 1$ . Since  $Qp = r$  and since the coefficients of  $\binom{x}{n-N}$  on both sides must be equal we have  $c_n b_N = a_{n-N}$  and so  $|a_{n-N}| = 1$  since  $|b_N| = 1$  and  $|c_n| = 1$  □

And now we immediately have

**Theorem 2.6**

Let  $(p_n)$  be a polynomial sequence which forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ , and let  $Q = \sum_{i=N}^{\infty} b_i \Delta^i$  ( $N \geq 0$ ) with  $1 = |b_N| \geq |b_n|$  if  $n > N$ . If  $Qp_n = r_{n-N}$  ( $n \geq N$ ), then the polynomial sequence  $(r_k)$  forms an orthonormal basis for  $C(\mathbf{Z}_p \rightarrow K)$ .

Proof

This follows immediately from theorem 2.1 and lemma 2.5  $\square$

**3. Continuous Linear Operators on  $C(\mathbf{Z}_p \rightarrow K)$** 

In this section we establish some results on continuous linear operators on  $C(\mathbf{Z}_p \rightarrow K)$ . In particular we look at operators which commute with the translation operator  $E$ . Our first theorem in this section concerns delta-operators. To prove this theorem we need the following lemma's

**Lemma 3.1**

$\|\Delta^n\| = 1$  for all  $n \in \mathbf{N}$ .

Proof

This follows immediately from (1.2).  $\square$

**Lemma 3.2**

Let  $Q$  be an operator such that  $Q = \sum_{i=N}^{\infty} b_i \Delta^i$ , with  $|b_i| \leq 1$  if  $i \geq N$ ,

$b_N \neq 0$  ( $N \in \mathbf{N}$ ). Then we have

- 1)  $\|Q^n f\|_{\infty} \leq \|\Delta^{nN} f\|_{\infty}$ ,  $n = 0, 1, \dots$
- 2) if  $N \geq 1$ , then  $(Q^n f)(x) \rightarrow 0$  uniformly if  $n$  tends to infinity.

Proof

- 1) This follows immediately by considering the corresponding power series  $\sum_{i=N}^{\infty} b_i t^i$ .
- 2)  $|Q^n f(x)| \leq \|Q^n f\|_{\infty} \leq \|\Delta^{nN} f\|_{\infty}$  and so  $(Q^n f)(x)$  tends to zero uniformly if  $n$  tends to infinity since  $(\Delta^{nN} f)(x)$  tends to zero uniformly if  $n$  tends to infinity (by 1.1)  $\square$

For delta-operators  $Q$  with norm equal to one and with  $|QB_1(0)| = 1$  we can prove a theorem analogous to theorem 1.2 of the introduction. Let  $\alpha$  be an arbitrary but fixed element of  $\mathbf{Z}_p$  and let  $(p_n)$  be the polynomial sequence as found in theorem 2.4. If  $(d_n)$  ( $n = 0, 1, \dots$ ) is a bounded sequence in  $K$ , then we can associate an

operator  $T$  with this sequence such that  $(Tp_n)(\alpha) = d_n$ . In order to see this we define the operator  $T$  in the following way

$$(Tf)(x) = \sum_{n=0}^{\infty} d_n(Q^n f)(x) \quad (3.1)$$

where  $Q^0 = I$  and where  $f$  denotes an element of  $C(\mathbb{Z}_p \rightarrow K)$ . Then  $T$  is clearly linear and commutes with  $E$  since  $Q$  commutes with  $E$ . The operator  $T$  is also continuous. To see this, take  $f \in C(\mathbb{Z}_p \rightarrow K)$ . Since  $(Q^n f)(x) \rightarrow 0$  uniformly if  $n$  tends to infinity (lemma 3.2 2)), the series converges uniformly and defines a continuous function  $Tf$ .  $T$  is continuous since (lemma 3.1 and lemma 3.2 1))

$$\|Tf\|_{\infty} \leq \sup_{n \geq 0} \{ |d_n| \|Q^n f\|_{\infty} \} \leq \|f\|_{\infty} \sup_{n \geq 0} \{ |d_n| \}. \quad (3.2)$$

Further,  $d_n = (Tp_n)(\alpha)$  since  $(Tp_n)(\alpha) = \sum_{k=0}^n d_k(Q^k p_n)(\alpha) = \sum_{k=0}^n d_k p_{n-k}(\alpha) = d_n$ . We'll denote the operator  $T$  defined by (3.1) as  $T = \sum_{n=0}^{\infty} d_n Q^n$ . We remark that the equality holds for the pointwise convergence, and not for the convergence in the operator norm. We'll call  $T = \sum_{i=0}^{\infty} d_i Q^i$  the  $Q$ -expansion of the operator  $T$ .

We can ask ourselves whether every continuous linear operator that commutes with  $E$  is of the form  $\sum_{i=0}^{\infty} d_i Q^i$  where the sequence  $(d_n)$  is bounded. The answer to this question is given by the following theorem. To prove this theorem we need the following lemma, where  $Ker T$  denotes the kernel of the linear operator  $T$ .

**Lemma 3.3**

*Let  $T$  be a continuous linear operator on  $C(\mathbb{Z}_p \rightarrow K)$  which commutes with the translation operator. If  $Ker T$  contains a polynomial of degree  $n$ , then  $T$  lowers the degree of every polynomial with at least  $n + 1$ .*

**Proof**

If  $T = \sum_{k=0}^{\infty} b_k \Delta^k$ , and  $Ker T$  contains a polynomial of degree  $n$ , then  $b_0 = \dots = b_n = 0$ . Suppose that this were not true, let then  $k_0 \leq n$  be the smallest index such that  $b_{k_0} \neq 0$ . Since  $\Delta$  lowers the degree of a polynomial with one,  $Tp$  is a polynomial of degree  $n - k_0$  and then  $p$  is not in the kernel of  $T$ . So  $b_0 = \dots = b_n = 0$  and we conclude that  $T$  lowers the degree of every polynomial with at least  $n + 1$   $\square$

For delta-operators  $Q$  with norm equal to one and with  $|QB_1(0)| = 1$  we can prove the following

**Theorem 3.4**

*Let  $Q$  be a delta-operator such that  $\|Q\| = |QB_1(0)| = 1$ , let  $\alpha$  be an arbitrary but fixed element of  $\mathbb{Z}_p$  and let  $(p_n)$  be the polynomial sequence as found in theorem 2.4.*

*1) Let  $T$  be an operator on  $C(\mathbb{Z}_p \rightarrow K)$  and put  $d_n = (Tp_n)(\alpha)$ . If  $T$  is continuous, linear and commutes with  $E$  then the sequence  $(d_n)$  is bounded and  $T = \sum_{n=0}^{\infty} d_n Q^n$ .*

2) If  $(d_n)$  is a bounded sequence, then the operator defined by  $T = \sum_{n=0}^{\infty} d_n Q^n$  is linear, continuous and commutes with  $E$ . Furthermore,  $d_n = (Tp_n)(\alpha)$ .

Proof

We only have to prove 1) since 2) is already proved. This proof is similar to the proof of the proposition in [6]. Suppose that  $T$  is a continuous linear operator on  $C(\mathbb{Z}_p \rightarrow K)$  and  $TE = ET$ . By the remarks following theorem 1.2 it follows that  $Tp_0$  is a constant. Define

$$d_0 = Tp_0.$$

Then  $\text{Ker}(T - d_0I)$  contains  $p_0$  since  $Tp_0 - d_0 = 0$ . By lemma 3.3,  $(T - d_0I)p_1$  is a constant, and so we can define  $d_1$  by

$$(T - d_0I)p_1 = d_1.$$

$\text{Ker}(T - d_0I - d_1Q)$  contains  $p_1$  since  $(T - d_0I)p_1 - d_1Qp_1 = d_1 - d_1 = 0$ . So  $\text{Ker}(T - d_0I - d_1Q)$  contains  $p_1$  etc .... If  $d_0, d_1, \dots, d_{n-1}$  are already defined, then we have that  $\text{Ker}(T - \sum_{i=0}^{n-1} d_i Q^i)$  contains  $p_{n-1}$ . So  $(T - \sum_{i=0}^{n-1} d_i Q^i)p_n$  is a constant, hence we can put

$$(T - \sum_{i=0}^{n-1} d_i Q^i)p_n = d_n.$$

Then  $d_n = (T - \sum_{i=0}^{n-1} d_i Q^i)p_n = Tp_n - \sum_{i=0}^{n-1} d_i p_{n-i}$ .

We now prove that the sequence  $(d_n)$  is bounded. Now  $|d_0| = \|Tp_0\|_{\infty} \leq \|T\| \|p_0\|_{\infty} \leq \|T\|$ . By induction : suppose  $|d_j| \leq \|T\|$  for  $j = 0, 1, \dots, n-1$ . Then, since  $\|p_k\|_{\infty} = 1$  for all  $k$ ,

$$|d_n| \leq \max\{\|T\|, |d_0|, |d_1|, \dots, |d_{n-1}|\} = \|T\|. \quad (3.3)$$

So the sequence  $(d_n)$  is bounded.

It follows from the construction that the kernel of the continuous operator  $(T - \sum_{i=0}^{\infty} d_i Q^i)$  contains  $p_n$  for all  $n \in \mathbb{N}$  and so it contains  $K[x]$  (lemma 3.3). Since  $K[x]$  is dense in  $C(\mathbb{Z}_p \rightarrow K)$  ([5], theorem 43.3, Kaplansky's theorem) it is the zero-operator and so

$$T = \sum_{i=0}^{\infty} d_i Q^i.$$

If  $f$  is an element of  $C(\mathbb{Z}_p \rightarrow K)$ , then  $(Tf)(x) = \sum_{i=0}^{\infty} d_i (Q^i f)(x)$  and the series on the right-hand-side is uniformly convergent since  $(Q^i f)(x) \rightarrow 0$  uniformly if  $n$  tends to infinity (lemma 3.2.2)). Clearly we have  $d_n = (Tp_n)(\alpha)$ , since  $(Tp_n)(\alpha) = \sum_{i=0}^n d_i (Q^i p_n)(\alpha) = \sum_{i=0}^n d_i p_{n-i}(\alpha) = d_n \square$

**Remarks**

1) If  $T = \sum_{i=0}^{\infty} d_i Q^i$  is a continuous operator, then  $T$  satisfies

$$\|T\| = \sup_{n \geq 0} \{|d_n|\}. \quad (3.4)$$

This follows immediately from (3.2) and (3.3).

2) The coefficients  $d_i$  in the  $Q$ -expansion are unique.

3) The composition of two such operators corresponds with multiplication of power series. The set of all continuous operators of the type  $\sum_{i=0}^{\infty} d_i Q^i$ ,  $(d_i)$  bounded in  $K$ , forms a ring under addition and composition which is isomorphic to the ring of formal power series  $\sum_{i=0}^{\infty} d_i t^i$  where  $(d_i)$  is bounded.

Let  $T$  be a continuous linear operator on  $C(\mathbf{Z}_p \rightarrow K)$  which commutes with  $E$ , and suppose that  $T = \sum_{n=0}^{\infty} b_n \Delta^n = \sum_{n=0}^{\infty} d_n Q^n$  where  $Q$  is a delta-operator such that  $\|Q\| = 1 = |(QB_1)(0)|$ . Then it is easy to see that  $T$  has the following properties ( $N \in \mathbf{N}$ ) :

$$b_i = 0 \text{ if } 0 \leq i < N, \quad b_N \neq 0 \text{ if and only if } d_i = 0 \text{ if } 0 \leq i < N, \quad d_N \neq 0.$$

Further, if  $J$  is a positive real number, then for all  $n \geq N$  :  $|b_n| \leq J$  if and only if for all  $n \geq N$  :  $|d_n| \leq J$ . In addition we have that

$$|b_N| = |d_N|.$$

It follows that

$$\|T\| = |b_N| \text{ if and only if } \|T\| = |d_N|.$$

If we use the same notation of the theorem, then the operator  $T$  is a delta-operator if and only if  $d_0 = 0, d_1 \neq 0$  i.e.  $(Tp_0)(\alpha) = 0, (Tp_1)(\alpha) \neq 0$ . It also follows that the operator  $T$  has an inverse which is also linear, continuous and commutes with  $E$  if and only if

$$\|T\| = |d_0| \neq 0.$$

In addition,

$$\|T\| = \|T^{-1}\| \text{ if and only if } \|T\| = |d_0| = 1.$$

This follows immediately from the properties above and remark 4) following theorem 1.2.

**Some examples**

Let us consider the delta-operator

$$Q = \sum_{i=1}^{\infty} \Delta^i$$

and put  $\alpha$  equal to zero. Then the basic sequence  $(p_n)$  for the operator  $Q$  is

$$p_0(x) = 1, \quad p_n(x) = \sum_{i=1}^n (-1)^{n-i} \binom{x}{i} \binom{n-1}{i-1} \quad \text{if } n \geq 1$$

(example following theorem 1.4). For the operators  $E$  and  $\Delta^k$  ( $k \geq 1$ ) we find

1)  $d_0 = (Ep_0)(0) = 1$  and for  $n \geq 1$  we have  $d_n = (Ep_n)(0) = \sum_{i=1}^n (-1)^{n-i} \binom{1}{i} \binom{n-1}{i-1} = (-1)^{n-1}$ . This gives us the following expansion for the operator  $E$

$$E = I + \sum_{n=1}^{\infty} (-1)^{n-1} Q^n.$$

2)  $d_n = (\Delta^k p_n)(0) = 0$  for  $n < k$  and for  $n \geq k$  we have

$d_n = (\Delta^k p_n)(0) = \sum_{i=k}^n (-1)^{n-i} \binom{0}{i-k} \binom{n-1}{i-1} = (-1)^{n-k} \binom{n-1}{k-1}$ . This gives us the following expansion for the operator  $\Delta^k$

$$\Delta^k = \sum_{n=k}^{\infty} (-1)^{n-k} \binom{n-1}{k-1} Q^n.$$

3) For the operator  $\sum_{n=2}^{\infty} \Delta^n$  of the example of section 2 we find

$$\sum_{n=2}^{\infty} \Delta^n = \sum_{n=1}^{\infty} \Delta^n - \Delta = Q - \sum_{n=1}^{\infty} (-1)^{n-1} Q^n = \sum_{n=2}^{\infty} (-1)^n Q^n$$

### Theorem 3.5

Let  $(p_n)$  and  $(q_n)$  be polynomial sequences in  $K[x]$  which form orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$  and let  $N$  be a natural number.

1) For the linear operator  $T$  on  $C(\mathbb{Z}_p \rightarrow K)$  such that  $Tr_N = q_{n-N}$  if  $n \geq N$ ,  $Tr_N = 0$  if  $n < N$ , we have that  $\|T\| = 1$  and so  $T$  is continuous. If in addition  $T$  is of the form  $T = \sum_{i=N}^{\infty} b_i \Delta^i$  (by lemma 3.3), then  $|b_N| = 1$ .

2) If  $(r_n)$  is a polynomial sequence which forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ , then the sequence  $Tr_N, Tr_{N+1}, \dots$  also forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ .

Proof

1) If  $f$  is an element of  $C(\mathbb{Z}_p \rightarrow K)$ , then since  $(p_n)$  forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ , there exists a uniformly convergent expansion of the form

$$f(x) = \sum_{n=0}^{\infty} a_n p_n(x)$$

and then we put

$$(Tf)(x) = \sum_{n=N}^{\infty} a_n q_{n-N}(x).$$

It is then obvious that  $Tp_n = q_{n-N}$  if  $n \geq N$ ,  $Tp_n = 0$  if  $n < N$ . Since  $a_n$  tends to zero if  $n$  tends to infinity, the series on the right-hand-side is uniformly convergent and so  $Tf$  is a continuous function.  $T$  is clearly linear. Further,  $\|Tf\|_\infty = \max_{n \geq N} \{|a_n|\} \leq \max_{n \geq 0} \{|a_n|\} = \|f\|_\infty$  and so  $\|T\| \leq 1$ . Furthermore,  $\|Tp_N\|_\infty = \|q_0\|_\infty = 1 = \|p_N\|_\infty$  and so  $\|T\| = 1$ . So  $T$  is continuous. If in addition  $T$  is of the form  $T = \sum_{i=0}^\infty b_i \Delta^i$  then since  $Tp_n = q_{n-N}$  if  $n \geq N$ ,  $Tp_n = 0$  if  $n < N$ , we have  $T = \sum_{i=N}^\infty b_i \Delta^i$  and then from  $Tp_N = q_0$  it immediately follows that  $|b_N| = 1$ .

2) Since  $(r_n)$  and  $(p_n)$  are polynomial sequences which form orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$ , we can write by theorem 2.1  $r_n$  in the following way :

$r_n = \sum_{j=0}^n b_{n,j} p_j$  ( $b_{n,j} \in K$ ) with  $|b_{n,j}| \leq 1$ ,  $|b_{n,n}| = 1$  for  $0 \leq j \leq n$ ,  $n \in \mathbb{N}$ , and so if  $n \geq N$  we have  $Tr_n = \sum_{j=N}^n b_{n,j} q_{j-N}$  and so by theorem 2.1 the sequence  $Tr_N, Tr_{N+1}, \dots$  forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$  since  $(q_n)$  forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$   $\square$

We can consider two special cases :

- 1) Take  $p_n = q_n$ ,  $n = 0, 1, \dots$  where  $N > 0$ . Then we look for an operator  $T$  such that  $Tp_n = p_{n-N}$ , if  $n \geq N$ ,  $Tp_n = 0$  if  $n < N$ .
- 2) The other special case is where  $N$  is equal to zero. Such an operator  $T$  is then called an umbral operator. See definition 3.7.

It is interesting to know whether the operator  $T$  of theorem 3.5 is of the form  $T = \sum_{i=N}^\infty b_i \Delta^i$ , i.e.  $T$  commutes with  $E$ . The case where  $p_n = q_n$  for all  $n$  and  $N = 1$  can be found in [1], théorème 5, p. 16.10. Another special case is the following

**Theorem 3.6**

Let  $(p_n)$  be a polynomial sequence which forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$  and let  $Q$  be a delta-operator with  $\|Q\| = 1 = |(QB_1)(0)|$ . Suppose that the formula

$$Qp_n = \sum_{k=0}^n p_k s_{n-k} \quad n = 0, 1, \dots$$

holds for some sequence of constants  $(s_n)$  in  $K$ . Then there exists a continuous linear operator  $R$  which commutes with  $E$  such that  $Rp_n = p_{n-N}$  if  $n \geq N$  and  $Rp_n = 0$  if  $n < N$  ( $N \geq 1$ ).

Proof

If  $f$  is an element of  $C(\mathbb{Z}_p \rightarrow K)$ , there exists coefficients  $a_n \in K$  such that

$$f(x) = \sum_{n=0}^\infty a_n p_n(x)$$

where the series on the right-hand-side is uniformly convergent,  $\|f\|_\infty = \max_{n \geq 0} \{|a_n|\}$  and  $a_n$  tends to zero if  $n$  tends to infinity. Let  $R$  be the operator defined as follows

$$(Rf)(x) = \sum_{n=N}^{\infty} a_n p_{n-N}(x).$$

It is clear that  $R$  satisfies  $Rp_n = p_{n-N}$  if  $n \geq N$  and  $Rp_n = 0$  if  $n < N$ . Since  $a_n$  tends to zero if  $n$  tends to infinity and since  $\|p_n\|_\infty = 1$  for all  $n$ , the series on the right-hand-side is uniformly convergent and thus  $Rf$  is a continuous function.  $R$  is clearly linear. We now show that  $R$  is continuous. We have  $\|Rf\|_\infty = \max_{n \geq N} \{|a_n|\} \leq \max_{n \geq 0} \{|a_n|\} = \|f\|_\infty$ . We conclude that  $\|R\| \leq 1$  and thus  $R$  is continuous. We now show that  $RQ^k = Q^kR$  ( $k \in \mathbb{N}$ ). If  $n$  is at least  $N$  then  $RQp_n = R \sum_{k=0}^n p_k s_{n-k} = \sum_{k=N}^n p_k s_{n-k} = \sum_{k=0}^{n-N} p_k s_{n-N-k} = Qp_{n-N} = QRp_n$  and if  $n$  is strictly smaller than  $N$  we have  $RQp_n = QRp_n = 0$  so by linearity  $QR = RQ$  on  $C(\mathbb{Z}_p \rightarrow K)$  (since  $Q$  and  $R$  are continuous and since  $(p_n)$  forms an orthonormal basis) and continuing this way we have  $RQ^k = Q^kR$  on  $C(\mathbb{Z}_p \rightarrow K)$  ( $k \in \mathbb{N}$ ). By theorem 3.4, there exists a bounded sequence  $(d_i)$  such that  $E = \sum_{i=0}^{\infty} d_i Q^i$  and thus  $R$  commutes with  $E$   $\square$

We now consider the case where  $N = 0$  in theorem 3.5. This leads us to the following definition, which is more or less analogous to the definition of the classical umbral calculus (see 1. Introduction)

### Definition 3.7

Let  $(p_n)$  and  $(q_n)$  be polynomial sequences which form orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$ , and let  $U$  be the linear operator which maps  $p_n$  on  $q_n$  for all  $n$  :

$$Up_n = q_n \quad n = 0, 1, \dots$$

Then we will call  $U$  the umbral operator which maps  $p_n$  on  $q_n$  for all  $n$ .

### Theorem 3.8

Let  $(p_n)$  and  $(q_n)$  be orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$  consisting of polynomial sequences, and let  $U$  be the umbral operator which maps  $p_n$  on  $q_n$  for all  $n$ .

- 1) Then  $U$  is an invertible, continuous operator for which  $\|U\| = \|U^{-1}\| = 1$ .
- 2) If  $(r_n)$  is a polynomial sequence which forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ , then  $(Ur_n)$  also forms an orthonormal basis for  $C(\mathbb{Z}_p \rightarrow K)$ .

**Proof**

1) We already know from theorem 3.5, by putting  $N = 0$ , that  $U$  is continuous and that  $\|U\| = 1$ . If  $f(x) = \sum_{n=0}^{\infty} a_n q_n(x)$  ( $a_n \in K$ ) is an element of  $C(\mathbb{Z}_p \rightarrow K)$ , then we define the operator  $S$  as follows  $(Sf)(x) = \sum_{n=0}^{\infty} a_n p_n(x)$ . Then  $Sf$  is a

continuous function for which  $\|Sf\|_\infty = \max_{n \geq 0} \{ |a_n| \} = \|f\|_\infty$  so the operator  $S$  is continuous and  $\|S\| = 1$ .  $S$  is linear and from the definition of  $S$  and  $U$  it follows that  $SU = US = I$  so  $S = U^{-1}$ .

2) This follows immediately from 2) of theorem 3.5, by putting  $N = 0$   $\square$

The umbral operator  $U$  does not necessarily commute with  $E$ . In the following special case  $U$  commutes with the translation operator :

**Theorem 3.9**

*Let  $Q$  be a delta-operator such that  $\|Q\| = 1 = |(QB_1)(0)|$  and let  $(p_n)$  and  $(q_n)$  be polynomial sequences which form orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$  such that  $Qq_n = q_{n-1}$  and  $Qp_n = p_{n-1}$  ( $n \geq 1$ ). The umbral operator  $U$  which maps  $p_n$  on  $q_n$  for all  $n$  commutes with  $E$ . The operator  $U$  has an inverse which is also linear continuous and commutes with  $E$ .*

Proof

The operator  $U$  is continuous and invertible (theorem 3.8). We prove that  $U$  commutes with  $E$ . The operator  $U$  commutes with  $Q$  :  $UQp_n = Up_{n-1} = q_{n-1}$  and  $QUp_n = Qq_n = q_{n-1}$  if  $n \geq 1$  and if  $n$  equals zero we have  $UQp_0 = QUp_0$  since both are equal to zero. By linearity, continuity and the fact that  $(p_n)$  forms an orthonormal basis,  $U$  commutes with  $Q$ . Continuing this way we find that  $U$  commutes with  $Q^k$  for all natural numbers  $k$ . By theorem 3.4, there exists an expansion of the form  $E = \sum_{n=0}^\infty d_n Q^n$ ,  $(d_i)$  bounded, and so  $U$  commutes with  $E$ . Since  $Up_0 = q_0$ , it follows that  $|(UB_0)(0)| = 1$  and by remark 4) following theorem 1.2 it follows that the operator  $U$  has an inverse which is also linear, continuous and commutes with  $E$ . In addition,  $|(U^{-1}B_0)(0)| = 1$   $\square$

Consider the algebra of continuous linear operators on  $C(\mathbb{Z}_p \rightarrow K)$  and let  $U$  be an invertible element of this algebra. The map  $S \rightarrow USU^{-1}$  is an inner automorphism of the algebra of continuous linear operators on  $C(\mathbb{Z}_p \rightarrow K)$ . Now let  $U$  be an umbral operator. Then we are able to prove the following theorem which is more or less similar to [4], section 2.7, proposition 1, p. 29.

**Theorem 3.10**

*Let  $P$  and  $Q$  be delta-operators on  $C(\mathbb{Z}_p \rightarrow K)$ ,  $1 = \|Q\| = \|P\| = |(PB_1)(0)| = |(QB_1)(0)|$ , and let  $p_n$  and  $q_n$  be polynomial sequences which form orthonormal bases for  $C(\mathbb{Z}_p \rightarrow K)$  such that  $Pp_n = p_{n-1}$  and  $Qq_n = q_{n-1}$ . Let  $U$  be the umbral operator which maps  $p_n$  on  $q_n$  for all  $n$ , and let  $S$  be a continuous linear operator which commutes with  $E$ . Then we have the following properties :*

- 1) *The map  $S \rightarrow USU^{-1}$  is an automorphism of the ring of all continuous linear operators on  $C(\mathbb{Z}_p \rightarrow K)$  which commute with  $E$ . Further,  $\|S\| = \|USU^{-1}\|$ .*
- 2) *If  $S$  is of the form  $S = \sum_{n=N}^\infty b_n \Delta^n$  ( $N \in \mathbb{N}$ ) with  $b_N \neq 0$  then  $USU^{-1}$  is of*

the form  $USU^{-1} = \sum_{n=N}^{\infty} \beta_n \Delta^n$  with  $\beta_N \neq 0$ . If in addition we have  $\|S\| = |\beta_N|$ , then also  $\|USU^{-1}\| = |\beta_N|$ . If  $(s_n)$  is a polynomial sequence such that  $Ss_n = s_{n-N}$  ( $n \geq N$ ) and if  $r_n$  is the polynomial sequence defined by  $Us_n = r_n$  then  $Rr_n = r_{n-N}$  ( $n \geq N$ ) where  $R = USU^{-1}$ .

3) If  $S = \sum_{n=0}^{\infty} d_n V^n$ , where  $V$  is a delta-operator such that  $\|V\| = 1 = |(VB_1)(0)|$ , then  $USU^{-1} = \sum_{n=0}^{\infty} d_n W^n$ , where  $W = UVU^{-1}$  and  $W$  is a delta-operator such that  $\|W\| = 1 = |(WB_1)(0)|$ .

**Proof**

The inverse  $U^{-1}$  of  $U$  exists and is linear and continuous by theorem 3.8.

1) The map  $S \rightarrow USU^{-1}$  is an inner automorphism of the algebra of continuous linear operators on  $C(\mathbf{Z}_p \rightarrow K)$ . We have to show that the subalgebra of operators which commute with  $E$  is invariant. We have ( $n \geq 1$ )  $UPp_n = Up_{n-1} = q_{n-1} = Qq_n = QUp_n$  and  $UPp_0 = QUp_0 = 0$ . So by linearity, continuity and since  $(p_n)$  forms an orthonormal basis we have  $UP = QU$  on  $C(\mathbf{Z}_p \rightarrow K)$  thus  $UPU^{-1} = Q$ . So we also have  $UP^kU^{-1} = Q^k$  for all natural numbers  $k$ . There exists an expansion of the form  $S = \sum_{i=0}^{\infty} d_i P^i$  with  $\|S\| = \sup_{n \geq 0} \{|d_n|\}$  ((3.4) and theorem 3.4) and so  $USU^{-1} = \sum_{i=0}^{\infty} d_i Q^i$  and we have  $\|USU^{-1}\| = \sup_{n \geq 0} \{|d_n|\} = \|S\|$  (by (3.4)). From the calculations it also follows that the map is onto (again theorem 3.4). So the map is an automorphism from the ring of continuous linear operators which commute with  $E$  onto itself.

2) If  $S$  is of the form  $S = \sum_{n=N}^{\infty} b_n \Delta^n$  with  $b_N \neq 0$  then  $S = \sum_{n=N}^{\infty} \gamma_n P^n$  with  $\gamma_N \neq 0$  (properties following theorem 3.4) and from the calculations in 1) it follows that  $USU^{-1} = \sum_{n=N}^{\infty} \gamma_n Q^n$  with  $\gamma_N \neq 0$  and so  $USU^{-1}$  is of the form  $USU^{-1} = \sum_{n=N}^{\infty} \beta_n \Delta^n$ ,  $\beta_N \neq 0$  (properties following theorem 3.4). If in addition  $\|S\| = |\beta_N|$ , then  $|\gamma_N| = \|S\| = \|USU^{-1}\|$  ((3.4), 1) and properties following theorem 3.4) and so  $|\beta_N| = \|USU^{-1}\|$  (properties following theorem 3.4). Further we have  $Rr_n = USU^{-1}r_n = USs_n = Us_{n-N} = r_{n-N}$ .

3) Since  $W = UVU^{-1}$ , we have  $W^k = UV^kU^{-1}$  ( $k \in \mathbf{N}$ ). Thus if  $S = \sum_{n=0}^{\infty} d_n V^n$ , then  $USU^{-1} = \sum_{n=0}^{\infty} d_n UV^nU^{-1} = \sum_{n=0}^{\infty} d_n W^n$ . From 1) and 2) it follows that  $W$  is a delta-operator and  $\|W\| = 1 = |(WB_1)(0)| \square$

Finally let us consider the following : let  $V_q$  be the subset of  $\mathbf{Z}_p$  defined as follows :  $V_q$  is the closure of the set  $\{aq^n \mid n = 0, 1, \dots\}$ , where  $a$  and  $q$  are two units of  $\mathbf{Z}_p$ ,  $q$  not a root of unity.  $C(V_q \rightarrow K)$  denotes the Banach space of continuous functions from  $V_q$  to  $K$ . The operator  $D_q$  on  $C(V_q \rightarrow K)$  is defined by

$$(D_q f)(x) = (f(qx) - f(x))/(x(q-1))$$

We remark that results for the operator  $D_q$  on  $C(V_q \rightarrow K)$  analogous to the results in this paper can be found in [8] and [9], chapter 5.

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