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## p-ADIC ANALYTIC INTERPOLATION

Jesus Araujo and Alain Escassut \*

**Abstract.** Let  $K$  be a complete ultrametric algebraically closed field. We study the Kernel of infinite van der Monde Matrices and show close connections with the zeroes of analytic functions. We study when such a matrix is invertible. Finally we use these results to obtain interpolation processes for analytic functions. They are more accurate if  $K$  is spherically complete.

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### 1. NOTATIONS, DEFINITIONS AND THEOREMS

$K$  denotes an algebraically closed field complete for an ultrametric absolute value. Given  $a \in K$ ,  $r > 0$ , we denote by  $d(a, r)$  (resp.  $d(a, r^-)$ ) the disk  $\{x \in K : |x - a| \leq r\}$  (resp.  $\{x \in K : |x - a| < r\}$ ). Given  $r > 0$  we denote by  $C(0, r)$  the circle  $d(0, r) \setminus d(0, r^-)$ . Given  $r_1, r_2 \in \mathbb{R}_+$  such that  $0 < r_1 < r_2$ , we denote by  $\Gamma(0, r_1, r_2)$  the set  $d(0, r_2^-) \setminus d(0, r_1)$ .

Given  $r > 0$ , we denote by  $A(d(0, r^-))$  the algebra of the power series  $\sum_{n=0}^{\infty} b_n x^n$  converging for  $|x| < r$ .

Given  $K$ -vector spaces  $E, F$ ,  $\mathcal{L}(E, F)$  will denote the space of the  $K$ -linear mappings from  $E$  into  $F$ .

$\mathcal{E}$  will denote the  $K$ -vector space of the sequences in  $K$ , and  $\mathcal{E}_0$  will denote the subspace of the bounded sequences. The identically zero sequence will be denoted by  $(0)$ .

$\mathcal{E}_1$  will denote the set of the sequences  $(a_n)$  such that  $\limsup_{n \rightarrow \infty} \sqrt[n]{|a_n|} \leq 1$ . So  $\mathcal{E}_1$  is seen to be a subspace of  $\mathcal{E}$  isomorphic to the space  $A(d(0, 1^-))$ , and obviously contains  $\mathcal{E}_0$ .

Let  $M_\infty$  be the set of the infinite matrices  $(\lambda_{i,j})$  with coefficients in  $K$ .

$\delta_{i,j}$  will denote the Kronecker symbol.  $I_\infty$  will denote the infinite identical matrix defined as  $\lambda_{i,j} = \delta_{i,j}$ .

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In this paper,  $(a_n)$  will denote an injective sequence in  $d(0, 1^-)$  such that  $a_n \neq 0$  for every  $n > 0$ . and we will denote by  $\mathcal{M}(a_n)$  the infinite matrix  $M = (\lambda_{i,j})$  defined as  $\lambda_{i,j} = (a_i)^j$ ,  $(i, j) \in \mathbb{N} \times \mathbb{N}$ .

A matrix  $M = (\lambda_{i,j}) \in \mathbf{M}_\infty$  will be said to be *bounded* if there exists  $A \in \mathbf{R}_+$  such that  $|\lambda_{i,j}| \leq A$  whenever  $(i, j) \in \mathbb{N} \times \mathbb{N}$ .

$M$  will be said to be *line-vanishing* if for each  $i \in \mathbb{N}$ , we have  $\lim_{j \rightarrow \infty} \lambda_{i,j} = 0$ .

A line-vanishing matrix  $M$  is seen to define a  $\mathbf{K}$ -linear mapping  $\psi_M$  from  $\mathcal{E}_0$  into  $\mathcal{E}$ .

So the matrix  $M = \mathcal{M}(a_n)$  clearly defines a  $\mathbf{K}$ -linear mapping  $\phi_M$  from  $\mathcal{E}_1$  into  $\mathcal{E}$ , because given a sequence  $(b_n) \in \mathcal{E}_1$ , the series  $\sum_{n=0}^{\infty} b_n (a_j)^n$  is obviously convergent.

Lemmas 1 and 2 are immediate :

**Lemma 1 :** *Let  $M \in \mathbf{M}_\infty$  be line vanishing.*

*The three following statements are equivalent :*

*$\psi_M$  is continuous*

*$\psi_M$  is an endomorphism of  $\mathcal{E}_0$*

*$M$  is bounded .*

In particular, Lemma 1 applies to matrices of the form  $\mathcal{M}(a_n)$ .

**Lemma 2 :** *Let  $M = \mathcal{M}(a_n)$  and let  $(b_n) \in \mathcal{E}_1$ . Then  $(b_n)$  belongs to  $\text{Ker} \phi_M$  if and only if the analytic function  $f(t) = \sum_{n=0}^{\infty} b_n t^n$  admits each point  $a_j$  for zero.*

**Theorem 1 :** *Let  $M = \mathcal{M}(a_n)$ . Then  $\text{Ker} \phi_M \neq \{(0)\}$  if and only if  $\lim_{n \rightarrow \infty} |a_n| = 1$ .*

*Besides  $\text{Ker} \psi_M \neq \{(0)\}$  if and only if  $\prod_{n=0}^{\infty} |a_n| > 0$ .*

**Theorem 2 :** *Let  $b = (b_n) \in \mathcal{E}_0$ . There exists an injective sequence  $(\alpha_n)$  in  $d(0, 1^-)$  such that  $b \in \text{Ker} \psi_{\mathcal{M}(\alpha_n)}$  if and only if  $b$  satisfies  $|b_j| < \sup_{n \in \mathbb{N}} |b_n|$  for all  $j \in \mathbb{N}$ .*

**Definitions and notations :** An injective sequence  $(a_n)$  in  $d(0, 1^-)$  will be called a *regular sequence* if  $\inf_{n \neq m} |a_n - a_m| > 0$  and  $\lim_{n \rightarrow \infty} |a_n| = 1$ .

Let  $(a_n)$  be a regular sequence and let  $\rho = \inf_{n \neq m} |a_n - a_m|$ . For every  $r \in ]0, 1[$ , we will denote

by  $\Omega((a_n), r)$  the set  $d(0, 1^-) \setminus (\bigcup_{n \in \mathbb{N}} d(a_n, r^-))$ , and by  $\Omega(a_n)$  the set  $d(0, 1^-) \setminus (\bigcup_{n \in \mathbb{N}} d(a_n, \rho^-))$ .

Let  $\mathbf{a} = (a_n)$  and  $\mathbf{b} = (b_n)$  be two sequences in  $K$ . We will denote by  $\mathbf{a} * \mathbf{b}$  the convolution product  $(c_n)$  defined as  $c_n = \sum_{j=0}^n a_j b_{n-j}$ .

**Theorem 3 :** *Let  $(\alpha_n)$  be a regular sequence of  $d(0, 1^-)$  such that there exists  $g \in A(d(0, 1^-))$  satisfying*

- (i)  $\alpha_n$  is a zero of order 1 of  $g$  for all  $n \in \mathbb{N}$ .
- (ii)  $g(x) \neq 0$  whenever  $x \in d(0, 1^-) \setminus \{\alpha_n : n \in \mathbb{N}\}$ .
- (iii)  $\lim_{\substack{|x| \rightarrow 1^- \\ x \in \Omega(\alpha_n)}} |g(x)| = +\infty$ .

Let  $M = \mathcal{M}(\alpha_n)$ . Then  $\psi_M$  is injective but its image does not contain  $\mathcal{E}_0$ . Also there exists  $P = (\lambda_{i,j}) \in \mathcal{M}_\infty$  (not unique) satisfying

- (1)  $P$  is line-vanishing.
- (2)  $\lim_{n \rightarrow \infty} \lambda_{n,j} \alpha_h^n = 0$  for all  $(j, h) \in \mathbb{N} \times \mathbb{N}$ .
- (3)  $\sum_{n=0}^{\infty} \lambda_{n,j} \alpha_h^n = \delta_{j,h}$  for all  $(j, h) \in \mathbb{N} \times \mathbb{N}$ .
- (4)  $MP = PM = I_\infty$ .
- (5)  $P(\mathbf{b}) \in \mathcal{E}_1$  for all  $\mathbf{b} \in \mathcal{E}_0$ .
- (6)  $MP(\mathbf{b}) = \mathbf{b}$  for all  $\mathbf{b} \in \mathcal{E}_0$ .
- (7)  $\psi_P$  is injective.

Let  $(\nu_n)$  be a sequence in  $\mathbf{K}$  such that  $|\nu_0| \geq |\nu_n|$  for every  $n > 0$ . For every  $j \in \mathbb{N}$ , let  $(\mu_{n,j})_{n \in \mathbb{N}}$  denote the sequence  $(\frac{1}{\sum_{m=0}^{\infty} \nu_m \alpha_j^m})((\lambda_{n,j}) * (\nu_n))$ . Then the matrix  $Q = (\mu_{i,j})$  also satisfies properties (1) – (7) and is not equal to  $P$  for infinitely many sequences  $(\nu_n)$ .

**Remarks.** 1. Mainly, the proof of Theorem 3 takes inspiration from that of Lemma 3 in [7]. However, in this lemma, the considered matrix, roughly, was  $P$ . Here the matrix we consider is a van der Monde matrix  $M$  and we look for  $P$ .

2. Given  $M$ , the matrix  $P$  depends on  $g$  and therefore is not unique satisfying (1)–(7). Indeed  $\mathcal{M}_\infty$  is not a ring because the multiplication of matrices is not always defined and even when it is defined, is not always associative. As a consequence, if  $P, P'$  satisfy  $MP = MP' = PM = P'M = I_\infty$ , we cannot conclude  $P' = P$ .

Actually we can consider  $\phi_M \circ \psi_P \in \mathcal{L}(\mathcal{E}_0, \mathcal{E})$  and then this is the identity in  $\mathcal{E}_0$ . Next we can consider  $\psi_{P'} \circ \psi_M \in \mathcal{L}(\mathcal{E}_0, \mathcal{E}_1)$  and this is the identity in  $\mathcal{E}_0$ . But we cannot consider  $\psi_{P'} \circ (\phi_M \circ \psi_P)$  because  $\psi_{P'}$  is not defined in  $\mathcal{E}_1$ . In the same way, we cannot consider  $(\psi_{P'} \circ \psi_M) \circ \psi_P$  because  $\psi_{P'} \circ \psi_M$  is only defined in  $\mathcal{E}_0$ .

We consider the matrix  $P$  and look for "inverses"  $M$  such that  $MP = PM = I_\infty$ . Suppose that there exists a bounded matrix  $M' \neq M$  such that  $PM' = M'P = I_\infty$ . Now we can consider  $\phi_{M'} \circ (\psi_P \circ \psi_M) \in \mathcal{L}(\mathcal{E}_0, \mathcal{E})$ . Since  $\psi_P \circ \psi_M$  is the identity in  $\mathcal{E}_0$ , then  $\phi_{M'} \circ (\psi_P \circ \psi_M)$  is equal to  $\psi_{M'}$ . Next we can consider  $(\phi_{M'} \circ \psi_P) \circ \psi_M \in \mathcal{L}(\mathcal{E}_0, \mathcal{E})$ . Since

$\phi_{M'} \circ \psi_P$  is the identity on  $\mathcal{E}_0$ , we have  $(\phi_{M'} \circ \psi_P) \circ \psi_M = \psi_M$  and therefore  $\psi_M = \psi_{M'}$  hence  $M = M'$ .

3. Let  $P, Q \in \mathcal{M}_\infty$  satisfy (1) – (7). Let  $\mathcal{E}' = \psi_P(\mathcal{E}_0)$ , let  $\mathcal{E}'' = \psi_Q(\mathcal{E}_0)$ . Then the restriction of  $\phi_M$  to  $\mathcal{E}'$  (resp.  $\mathcal{E}''$ ) is just the reciprocal of  $\psi_P$  (resp.  $\psi_Q$ ).

**Conjecture.** Under the hypothesis of Theorem 1, every matrix satisfying properties (1) – (7) is of the form

$$\mu_{n,j} = \left( \frac{1}{\sum_{m=0}^{\infty} \nu_m \alpha_j^m} \right) ((\lambda_{n,j}) * (\nu_n)).$$

**Theorem 4 :** Let  $\mathbf{K}$  be spherically complete, and let  $(\alpha_n)$  be a sequence in  $d(0, 1^-)$  satisfying  $|\alpha_n - \alpha_m| \geq \min(|\alpha_n|, |\alpha_m|)$  whenever  $n \neq m$ ,  $\lim_{n \rightarrow \infty} |\alpha_n| = 1$ , and  $\prod_{n=0}^{\infty} |\alpha_n| = 0$ .

Then  $\mathcal{M}(\alpha_n)$  admits inverses  $P$  such that, for every bounded sequence  $\mathbf{b} := (b_n)$  in  $\mathbf{K}$ , the sequence  $\mathbf{a} := (a_n) = P(\mathbf{b})$  defines a function  $f(x) = \sum_{n=0}^{\infty} a_n x^n \in A(d(0, 1^-))$  satisfying  $f(\alpha_n) = b_n$ .

**Theorem 5 :** Let  $(\alpha_n)$  be a regular sequence in  $d(0, 1^-)$ . There exists a regular sequence  $(\gamma_n)$  in  $d(0, 1^-)$  such that  $(\alpha_n)$  is a subsequence of  $(\gamma_n)$  satisfying : for every inverse matrix  $P$  of  $\mathcal{M}(\gamma_n)$  and for every bounded sequence  $\mathbf{b} = (b_n)$  of  $\mathbf{K}$ , the sequence  $\mathbf{a} = P(\mathbf{b}) := (a_n)$  defines an analytic function  $f(x) = \sum_{n=0}^{\infty} a_n x^n$  such that  $f(\gamma_j) = b_j$  whenever  $j \in \mathbb{N}$ .

**2. PROVING THEOREMS 1 AND 2.**

For each set  $D$  in  $\mathbf{K}$ , we denote by  $H(D)$  the set of the analytic elements in  $D$  (i. e., the completion of the set of the rational functions with no pole in  $D$ ).

Given  $f(t) = \sum_{n=0}^{\infty} b_n t^n \in A(d(0, 1^-))$ , one defines the valuation function  $v(f, \mu)$  in the interval  $]0, +\infty[$  as  $v(f, \mu) = \inf_{n \in \mathbb{N}} (v(b_n) + n\mu)$ .

Lemma 3 and 4 gather the main properties of the function  $v(f, \mu)$  ([1],[4]).

**Lemma 3** Let  $f(t) = \sum_{n=0}^{\infty} b_n t^n \in A(d(0, 1^-))$ . For every  $\mu > 0$ ,  $f$  satisfies  $v(f, \mu) = \lim_{v(t) \rightarrow \mu, v(t) \neq \mu} v(f(t))$ . For every  $x \in d(0, 1^-)$ ,  $f$  satisfies  $v(f(x)) \geq v(f, v(x))$ . For every  $r \in ]0, 1[$ ,  $f$  satisfies  $-\log \|f\|_{d(0,r)} = v(f, -\log r)$ .

Besides  $f$  is bounded in  $d(0, 1^-)$  if and only if the sequence  $(b_n)$  belongs to  $\mathcal{E}_0$ . If  $f$  is bounded in  $d(0, 1^-)$ , then  $\|f\|_{d(0,1^-)} = \sup_{n \in \mathbb{N}} |b_n|$  and  $-\log \|f\|_{d(0,1^-)} = \lim_{\mu \rightarrow 0} v(f, \mu)$ .

**Lemma 4 :** *Let  $f(t) \in A(d(0, 1^-))$  and let  $r_1, r_2 \in (0, 1)$  satisfy  $r_1 < r_2$ . If  $f$  admits  $q$  zeros in  $d(0, r_1)$  (taking multiplicities into account) and  $t$  distinct zeros  $\alpha_1, \dots, \alpha_t$ , of multiplicity order  $\zeta_j$  ( $1 \leq j \leq t$ ) respectively in  $\Gamma(0, r_1, r_2)$ , then  $f$  satisfies*

$$v(f, -\log r_2) - v(f, -\log r_1) = - \sum_{j=1}^t \zeta_j (v(a_j) + \log r_2) - q(\log r_2 - \log r_1).$$

**Proof of Theorem 1.** Let  $\mathbf{b} = (b_n) \in \mathcal{E}_1 \setminus \{(0)\}$  and let  $f(t) = \sum_{n=0}^{\infty} b_n t^n \in A(d(0, 1^-))$ .

First we suppose  $\text{Ker} \phi_M \neq \{(0)\}$  and therefore we can assume  $\mathbf{b} \in \text{Ker} \phi_M$ . Then, by Lemma 2,  $f$  satisfies  $f(a_j) = 0$  for every  $j \in \mathbb{N}$ . But for every  $r \in ]0, 1[$ , we know that  $f$  belongs to  $H(d(0, r))$  and has finitely many zeros in  $d(0, r)$ . Hence we have  $\lim_{n \rightarrow \infty} |a_n| = 1$ .

Reciprocally, let the sequence  $(a_n)$  satisfy  $\lim_{n \rightarrow \infty} |a_n| = 1$ . By Proposition 5 in [4], we know that there exists a not identically zero analytic function  $f(t) = \sum_{n=0}^{\infty} b_n t^n \in A(d(0, 1^-))$

which admits each  $a_j$  as a zero. Hence we have  $\sum_{n=0}^{\infty} b_n a_j^n = 0$ , and of course the sequence  $(b_n)$  belongs to  $\mathcal{E}_1$ , hence to  $\text{Ker} \phi_M$ .

Now we suppose that  $\text{Ker} \psi_M \neq (0)$  and we assume that the sequence  $(b_n)$  belongs to  $\text{Ker} \psi_M$ . In particular  $\text{Ker} \phi_M \neq (0)$  and therefore  $\lim_{n \rightarrow \infty} |a_n| = 1$ . Without loss of generality we may clearly assume  $|a_n| \leq |a_{n+1}|$  for all  $n \in \mathbb{N}$ . Besides, by definition we have  $|a_1| > 0$ . By Lemma 3 we know that  $\inf_{n \in \mathbb{N}} v(b_n) = \lim_{\mu \rightarrow 0^+} v(f, \mu) = \lim_{|x| \rightarrow 1, x \in D} v(f(x)) = -\log \|f\|_{d(0, 1^-)}$ . Now for each  $\mu > 0$ , let  $q(\mu)$  be the unique integer such that  $v(a_n) \geq \mu$  for every  $n \leq q(\mu)$  and  $v(a_n) < \mu$  for every  $n > q(\mu)$ . By Lemma 4, we check

$$v(f, \mu) - v(f, v(a_1)) \leq \sum_{j=2}^{q(\mu)} \mu - v(a_j) + 2(\mu - v(a_1)).$$

Since  $v(f, \mu)$  is bounded when  $\mu$  approaches 0, by (1) it is seen that  $\sum_{j=1}^{\infty} v(a_j)$  must be

bounded and therefore we have  $\prod_{n=1}^{\infty} |a_n| > 0$ .

Reciprocally we suppose  $\prod_{n=1}^{\infty} |a_n| > 0$ . We can easily check that  $\lim_{n \rightarrow \infty} |a_n| = 1$ , and then we can assume  $|a_n| \leq |a_{n+1}|$  for all  $n \in \mathbb{N}$  without loss of generality. For each

$j \in \mathbb{N}$  we put  $P_j(x) = \prod_{m=1}^j (1 - x/a_m)$ . By Theorem 1 in [2], we can check that there exists

$f \in A(d(0, 1^-))$  ( $f$  not identically zero) satisfying

(3)  $f(a_m) = 0$  for all  $m \in \mathbb{N}$ , and

(4)  $v(f, \mu) \geq v(P_{q(\mu)}, \mu) - 1$  for all  $\mu > 0$ .

Now we notice that if  $\mu_1 > \mu_2 > 0$  then we have  $v(P_{q(\mu_1)}, \mu_1) = v(P_{q(\mu_2)}, \mu_1)$  and then

we see that  $\lim_{\mu \rightarrow 0^+} v(P_{q(\mu)}, \mu) = \sum_{j=1}^{\infty} v(a_j)$ . But by (2) we have  $\sum_{j=1}^{\infty} v(a_j) < +\infty$  and therefore

by (4),  $v(f, \mu)$  is bounded in  $]0, +\infty[$ . Let  $f(t) = \sum_{n=0}^{\infty} b_n t^n$ . By Lemma 3 the sequence  $(b_n)$

is bounded and by (3) it clearly belongs to  $\text{Ker.}\psi_M$ . This finishes the proof of Theorem 1.

**Lemma 5 :** *Let  $f(t) = \sum_{n=0}^{\infty} b_n t^n \in A(d(0, 1^-))$  and let  $r \in (0, 1)$ . Then  $f$  admits at least one zero in  $C(0, r)$  if and only if there exist  $k, l \in \mathbb{N}$  ( $k < l$ ) such that  $|b_k| r^k = |b_l| r^l$ .*

**Proof of Theorem 2.** As a consequence of Lemma 5, a function  $f(t) = \sum_{n=0}^{\infty} b_n t^n \in A(d(0, 1^-))$

admits infinitely many zeros in  $d(0, 1^-)$  if and only if  $|b_j| < \sup_{n \in \mathbb{N}} |b_n|$  for every  $j \in \mathbb{N}$ . Then

the conclusion comes from Lemma 2.

### 3. PROVING THEOREM 3.

As an application of Corollary (of Theorem 5) in [8], we have this lemma.

**Lemma 6 :** *Let  $f \in A(d(0, 1^-))$  have a regular sequence of zeros  $(b_n)$  and satisfy*

$\lim_{\substack{|x| \rightarrow 1^- \\ x \in \Omega(b_n)}} |f(x)| = +\infty$ . *Then  $1/f$  belongs to  $H(\Omega(b_n))$ .*

**Proof of Theorem 3.** We may obviously assume  $|\alpha_n| \leq |\alpha_{n+1}|$  and therefore  $\alpha_n \neq 0$  whenever  $n > 0$ . Since  $g$  is not bounded in  $d(0, 1^-)$ , by Lemma 3 we have  $\lim_{\mu \rightarrow 0^+} v(g, \mu) = -\infty$ ,

and by Lemma 4 the sequence of the zeros  $(\alpha_n)$  satisfies  $\prod_{n=1}^{\infty} |\alpha_n| = 0$ , hence  $\psi_M$  is injective.

Now we look for  $P$ . Since  $g$  admits each  $\alpha_j$  as a simple zero, it factorizes in  $A(d(0, 1^-))$

in the form  $\psi_j(x)(1 - x/\alpha_j)$  and we have  $\psi_j(\alpha_j) \neq 0$ . We put  $g_j(x) = \frac{\psi_j(x)}{\psi_j(\alpha_j)}$ . Then  $g_j$

belongs to  $A(d(0, 1^-))$  and may be written as  $\sum_{n=0}^{\infty} \lambda_{n,j} x^n$ . We denote by  $P$  the matrix

$$\begin{pmatrix} \lambda_{00} & \lambda_{01} & \dots & \lambda_{0n} & \dots \\ \lambda_{10} & \lambda_{11} & \dots & \lambda_{1n} & \dots \\ \vdots & \vdots & \ddots & \vdots & \ddots \\ \lambda_{j0} & \lambda_{j1} & \dots & \lambda_{jn} & \dots \\ \vdots & \vdots & \ddots & \vdots & \ddots \end{pmatrix}$$

and we will show this satisfies Properties (1) – (7).

For convenience, we put  $D = \Omega(\alpha_n)$ . Since  $\lim_{\substack{|x| \rightarrow 1^- \\ x \in D}} |g(x)| = +\infty$ , by Lemma 6, we know that  $1/g$  belongs to  $H(D)$ . For each  $n \in \mathbb{N}$ , we put  $u_n = x^n/g$ . Then in  $H(D)$ ,  $u_n$  has a Mittag-Leffler series ([3], [5]) of the form  $\sum_{j=0}^{\infty} \frac{\beta_{j,n}}{1-x/\alpha_j}$ . Now we put  $\theta_j = \psi_j(\alpha_j)$  and we have  $g(x) = \theta_j g_j(x)(1-x/\alpha_j)$ . We will compute the  $\beta_{j,n}$ . Let  $v_{j,n} = (1-x/\alpha_j)u_n$ . Then we have  $v_{j,n}(\alpha_i) = \frac{\alpha_j^n}{g_j(\alpha_j)\theta_j}$ . But since  $g_j(\alpha_j) = 1$  whenever  $j \in \mathbb{N}$ , we see that  $\beta_{j,n} = \alpha_j^n/\theta_j$ , hence  $x^n g(x) = \sum_{i=0}^n \frac{\alpha_j^n}{\theta_j(1-x/\alpha_j)}$ . We notice that  $\left\| \frac{\alpha_j^n}{1-x/\alpha_j} \right\|_D = \frac{|\alpha_j|^{n+1}}{\rho}$  and then we have  $\lim_{j \rightarrow \infty} |\theta_j| = +\infty$ , because the sequence of the terms  $x^n/g(x)$  must tend to 0. Now we have  $x^n = \sum_{j=0}^n \frac{\alpha_j^n g(x)}{\theta_j(1-x/\alpha_j)}$ , while  $g_j(x) = \frac{g(x)}{\theta_j(1-x/\alpha_j)}$ . Since  $g_j(x) = \sum_{n=0}^{\infty} \lambda_{n,j} x^n$ , we obtain

$$(8) \quad x^n = \sum_{j=0}^{\infty} \alpha_j^n \left( \sum_{h=0}^{\infty} \lambda_{h,j} x^h \right).$$

In particular, (8) holds in every disk  $d(0, r)$  with  $r \in ]0, 1[$ . But then we know that  $\|g_j\|_{d(0,r)} = \sup_{h \in \mathbb{N}} |\lambda_{j,h}| r^h \leq \frac{\|\psi_j\|_{d(0,r)}}{|\theta_j|}$ . Now, we have  $\|\phi_j\|_{d(0,r)} \leq \|g\|_{d(0,r)}$  as soon as  $|\alpha_i| > r$  because then  $\|1/(1-x/\alpha_j)\|_{d(0,r)} = 1$  and therefore the sequence  $(\|\phi_j\|_{d(0,r)})_{j \in \mathbb{N}}$  is bounded. Then the family  $(|\lambda_{h,j}| r^h)_{j \in \mathbb{N}}$  tends to zero when  $j$  tends to  $+\infty$ , uniformly with respect to  $h$ . In particular,  $P$  is line-vanishing. For each  $h \in \mathbb{N}$ , we put  $s_h = \sup_{j \in \mathbb{N}} |\lambda_{h,j}|$ . We will show

$$(9) \quad \limsup_{h \rightarrow +\infty} s_h^{1/h} \leq 1.$$

Indeed this is equivalent to show that for every  $r \in ]0, 1[$ , we have

$$(10) \quad \lim_{h \rightarrow \infty} s_h r^h = 0.$$

Let  $r \in ]0, 1[$  and let  $\epsilon > 0$ . Since the family  $(|\lambda_{h,j}|r^h)_{j,h \in \mathbb{N}}$  tends to zero uniformly with respect to  $h$  when  $j$  tends to  $+\infty$ , there clearly exists  $N$  such that  $|\lambda_{h,j}|r^h < \epsilon$  whenever  $j > N$ , whenever  $h \in \mathbb{N}$ , hence for every  $h \in \mathbb{N}$ , we have  $s_h r^h \leq \max_{1 \leq j \leq N} |\lambda_{h,j}|r^h$ . But for each fixed  $i \in \mathbb{N}$ , we know that  $\lim_{h \rightarrow \infty} |\lambda_{h,j}|r^h = 0$ , hence  $\lim_{h \rightarrow \infty} (\max_{1 \leq j \leq N} |\lambda_{h,j}|r^h) = 0$ . This finishes showing (10). Therefore (9) is proven and so is (2).

Now, we can apply the limits inversion theorem and, then, by (8), we have

$$(11) \quad x^n = \sum_{h=0}^{\infty} \left( \sum_{j=0}^{\infty} \alpha_j^n \lambda_{h,j} \right) x^h,$$

whenever  $x \in d(0, r)$ . Actually this is true for all  $r \in ]0, 1[$  and therefore (11) holds for all  $x \in d(0, 1^-)$ . Hence we have  $\sum_{j=0}^{\infty} \alpha_j^n \lambda_{h,j} = 0$  whenever  $n \neq h$  and  $\sum_{j=0}^{\infty} \alpha_j^n \lambda_{n,j} = 1$ . So (3) is satisfied.

Thus we have proven that  $PM = I_{\infty}$ . Now we check that  $MP = I_{\infty}$ . For every  $h \neq j$ , we have  $g_j(\alpha_h) = g(\alpha_h) = 0$ , hence  $\sum_{h=0}^{\infty} \alpha_h^n \lambda_{h,j} = 0$ . Besides, it is seen that  $g_j(\alpha_j) = 1$ , hence  $\sum_{n=0}^{\infty} \alpha_j^n \lambda_{n,j} = 1$ . So we conclude that  $MP = I_{\infty}$  and this finishing proving (4).

Now, we will check that  $P(\mathbf{b}) \in \mathcal{E}_1$  for all  $\mathbf{b} \in \mathcal{E}_0$ . Let  $\mathbf{b} := (b_n) \in \mathcal{E}_0$ , let  $\mathbf{a} := (a_n) = P(\mathbf{b})$  and let  $f(t) = \sum_{n=0}^{\infty} a_n t^n$ . For each  $j \in \mathbb{N}$  we put  $f_j(t) = \sum_{m=0}^j b_m g_m(t)$ . Then  $f_j$  belongs to  $A(d(0, 1^-))$  for all  $j \in \mathbb{N}$ . Let  $r \in ]0, 1[$ . Like the family  $|\lambda_{n,j}|r^n$ , the family  $|\lambda_{n,j} b_j| r^n$  tends to zero uniformly with respect to  $n$  when  $j$  tends to  $+\infty$ . That way, in  $H(d(0, r))$  we have  $\lim_{j \rightarrow \infty} \|f - f_j\|_{d(0, r)} = 0$  and therefore  $f$  belongs to  $H(d(0, r))$ . This is true for all  $r \in ]0, 1[$  and therefore  $f$  belongs to  $A(d(0, 1^-))$ . Hence  $P(\mathbf{b}) \in \mathcal{E}_1$ . This shows (5).

Let us show (6). Let  $\mathbf{b} := (b_0, \dots, b_n, \dots)$  be a bounded sequence. Let  $\mathbf{a} = P\mathbf{b}$ , and let  $\mathbf{a} = (a_0, \dots, a_n, \dots)$ . We will show

$$(12) \quad \limsup_{n \rightarrow \infty} |a_n|^{1/n} \leq 1.$$

Without loss of generality, we may assume  $|b_j| \leq 1$ , whenever  $j \in \mathbb{N}$ . Then we have  $|a_n| \leq \sup_{j \in \mathbb{N}} |\lambda_{n,j}| = s_n$ , therefore  $\limsup_{n \rightarrow \infty} |a_n|^{1/n} \leq \limsup_{n \rightarrow \infty} s_n^{1/n} \leq 1$ . Now, by (12), it is seen that for all  $j \in \mathbb{N}$ , the series  $\sum_{n=0}^{\infty} a_n \alpha_j^n$  is convergent and therefore we may consider

$M\mathbf{a} = M(P\mathbf{b})$ . By definition, for each  $i \in \mathbb{N}$ , we have  $a_i = \sum_{j=0}^{\infty} \lambda_{i,j} b_j$ . Let  $M\mathbf{a} = (x_h)_{h \in \mathbb{N}}$ .

For each  $h \in \mathbb{N}$  we have  $x_h = \sum_{m=0}^{\infty} \alpha_h^m a_m = \sum_{m=0}^{\infty} \alpha_h^m (\sum_{j=0}^{\infty} \lambda_{m,j} b_j)$ . Let  $r = |\alpha_h|$ . As we saw, the family  $|\lambda_{m,j} b_j| r^m$  tends to 0 when  $m$  tends to  $+\infty$ , uniformly with respect to  $j$ . Hence by the Limits Inversion Theorem, we have

$$\sum_{m=0}^{\infty} \alpha_h^m (\sum_{j=0}^{\infty} \lambda_{m,j} b_j) = \sum_{j=0}^{\infty} b_j (\sum_{m=0}^{\infty} \lambda_{m,j} \alpha_h^m).$$

Hence by (3), we see that  $x_j = b_j$  and this finishes proving (6). Then by (6)  $\psi_P$  is clearly injective.

Finally we will prove the last statement of the theorem. Let  $\phi(x) = \sum_{n=0}^{\infty} \nu_n x^n$ . The

function  $\phi$  belongs to  $A(d(0, 1^-))$  and is invertible in  $A(d(0, 1^-))$  thanks to the inequality  $|\nu_0| > |\nu_n|$  whenever  $n > 0$ . Hence the function  $G(x) = g(x)\phi(x)$  is easily seen to satisfy i), ii), iii), iv) like  $g$ . Then  $G$  factorizes in  $A(d(0, 1^-))$  and can be written as  $\phi_j(x)(1 - x/\alpha_j)$

with  $\phi_j(x) = \psi_j(x)\phi(x)$ . Hence we put  $G_j(x) = \frac{\phi_j(x)}{\phi_j(\alpha_j)} = \frac{g_j(x)\phi(x)}{\phi(\alpha_j)}$ . Now it is clearly

seen that the power series of  $G_j$  is  $\sum_{n=0}^{\infty} \mu_{n,j} x^n$ . By definition, the matrix  $Q$  satisfies the

same properties as  $P$ . But when  $\phi$  is not a constant function, for each fixed  $j \in \mathbb{N}$ , we do not have  $\mu_{n,j} = \lambda_{n,j}$  for all  $n \in \mathbb{N}$ . Hence  $Q$  is different from  $P$ . As a consequence we see that  $\psi_M$  is not surjective, it would be an automorphism of  $\mathcal{E}_0$  and therefore  $\psi_P$  would also be an automorphism of  $\mathcal{E}_0$  and it would be unique. This ends the proof of Theorem 3.

#### 4. PROVING THEOREMS 4 AND 5

**Notation.** For each integer  $q \in \mathbb{N}^*$ , we will denote by  $\mathcal{G}(q)$  the group of the  $q$ -roots of 1.

**Lemma 7 :** *Let  $(a_n)$  be a sequence in  $d(0, 1^-)$  such that  $\lim_{n \rightarrow \infty} |a_n| = 1$ . For each  $s \in \mathbb{N}$ , there exists a prime integer  $q > p$  and  $\zeta \in \mathcal{G}(q)$  such that  $|\zeta^h a_s - a_j| = \max(|a_s|, |a_j|)$  for every  $j \in \mathbb{N}$ , for every  $h = 1, \dots, q - 1$ .*

**Proof.** Let  $r = |a_s|$ . Since  $\lim_{n \rightarrow \infty} |a_n| = 1$ , the circle  $C(0, r)$  contains finitely many terms of the sequence  $(a_n)$ . Without loss of generality we may assume  $|a_n| < r$  whenever  $n < l$ ,  $|a_n| > r$  whenever  $n > t$  and  $|a_n| = r$ , whenever  $n = l, \dots, t$  (with obviously  $l \leq s \leq t$ ). Whatever  $q \in \mathbb{N}$ ,  $\zeta \in \mathcal{G}(q)$  are, it is seen that we have  $|\zeta^h a_s - a_j| = |a_s|$  for all  $j < l$  and  $|\zeta^h a_s - a_j| = |a_j|$  for all  $j > t$ . In the residue class field  $k$  of  $\mathbb{K}$ , for every  $j = l, \dots, t$ , let  $\gamma_j$  be the class of  $a_j/a_s$ . There does exist a prime integer  $q > p$  such that the polynomial  $p(x) = x^q - 1$  admits none of the  $\gamma_j$  ( $l \leq j \leq t$ ) as a zero. Hence, for

every  $q$ -root  $\nu$  of 1 in  $k$ , we have  $\nu^h \neq \gamma_j$  whenever  $j = l, \dots, t$ , whenever  $h = 1, \dots, q-1$ . Now let  $\zeta$  be a  $q$ -th root of 1 in  $K$ . Then by classical properties of the polynomials, we have  $\left| \frac{\zeta^h - a_j}{a_s} \right| = 1$ , hence  $|\zeta^h a_s - a_j| = |a_s| = r$  whenever  $h = 1, \dots, q-1$ , whenever  $j = l, \dots, t$ . This completes the proof of Lemma 7.

**Lemma 8 :** *Let  $(a_n)$  be a regular sequence and let  $\rho = \inf_{n \neq m} |a_n - a_m|$ . There exists a sequence  $(b_n)$  in  $d(0, 1^-)$  satisfying :*

- (1)  $\lim_{n \rightarrow \infty} |b_n| = 1$ .
- (2)  $|b_n - b_m| \geq \rho$  whenever  $n \neq m$ .
- (3)  $(a_n)$  is a subsequence of  $(b_n)$ ,
- (4) There exists a sequence  $(q_n)$  of prime integers different from  $p$  satisfying  $\lim_{n \rightarrow \infty} q_n = +\infty$ ,

such that for every  $m \in \mathbb{N}$ ,  $\zeta \in \mathcal{G}(q_n)$ ,  $\zeta b_n$  is another term of the sequence  $(b_n)$ ,

(5) There exists  $f \in A(d(0, 1^-))$  admitting each  $b_n$  as a simple zero and having no other zero in  $d(0, 1^-)$ , satisfying

$$\lim_{\substack{|x| \rightarrow 1^- \\ x \in \Omega(b_n)}} |f(x)| = +\infty.$$

**Proof.** First we will construct a sequence  $(b'_n)$  satisfying (1), (2), (3), (4). Let  $(q_j)$  be a strictly increasing sequence of prime integers strictly bigger than  $p$  and, for each  $j \in \mathbb{N}$ , let  $s_j = \sum_{i=0}^j q_i$ , let  $\zeta_j \in \mathcal{G}(q_j) \setminus \{1\}$  and let  $b'_{\zeta_j + h} = \zeta_j^h a_j$  ( $0 \leq h \leq q_j - 1$ ). We will show that a good choice of the sequence  $(q_j)$  enables us to obtain

$$(6) \quad |b'_n - b'_m| = \max(|b'_n|, |b'_m|)$$

for every couple  $(n, m)$  satisfying  $n \neq m$  and  $(n, m) \neq (s_i, s_j)$  whenever  $(i, j) \in \mathbb{N} \times \mathbb{N}$ . In other words  $|b'_n - b'_m| = \max(|b'_n|, |b'_m|)$  must be true all time except when  $n = m$  and when  $(b'_n, b'_m)$  is equal to some couple  $(a_{s_i}, a_{s_j})$ . For each  $t \in \mathbb{N}$ , let  $F_t = \{s_0, s_1, \dots, s_t\}$  and let  $E_t$  be  $\{0, 1, \dots, s_t - 1\} \setminus F_t$ . Assume that  $q_0, q_1, \dots, q_{t-1}$  have been chosen to satisfy the following properties  $(\alpha_t)$  and  $(\beta_t)$

$$(\alpha_t) \quad |b'_n - a_{s_j}| = \max(|b'_n|, |a_{s_j}|) \text{ for all } j \in \mathbb{N}, \text{ for all } n \in E_t.$$

$(\beta_t) \quad |b'_n - b'_m| = \max(|b'_n|, |b'_m|)$  for all  $(n, m) \in E_t \times E_t$  such that  $n \neq m$ . We will choose  $q_t$  such that both  $(\alpha_{t+1})$ ,  $(\beta_{t+1})$  are satisfied. Indeed, by Lemma 7 we can take a prime integer  $u$  such that, given  $\zeta_t \in \mathcal{G}(u)$ , we have  $|\zeta_t^h a_t - a_j| = \max(|a_t|, |a_j|)$  for all  $j \in \mathbb{N}$ , for all  $h = 1, \dots, u-1$ ,  $|\zeta_t^h a_t - b'_n| = \max(|a_t|, |b'_n|)$  for all  $n < s_t$ , for all  $h = 1, \dots, u-1$ . Thus we can take  $q_t = u$  and we see that both  $(\alpha_{t+1})$ ,  $(\beta_{t+1})$  are satisfied. Hence we can construct the sequence  $(q_t)$  by induction and, therefore, the sequence  $(b'_n)$  satisfying (6) is now constructed. Then it is easily checked that the sequence  $(b'_n)$  so obtained satisfies (1), (2), (3), (4).

Now let  $\{r_0, \dots, r_n, \dots\} = \{|a_j| : j \in \mathbb{N}\}$  and let  $D = \Omega(b_n)$ . The infinite product  $g(x) = \prod_{j=0}^{\infty} (1 - (x/a_j)^{q_j})$  converges in  $A(d(0, 1^-))$  and has no zero in  $d(0, r) \cap D$  because, by construction of the sequence  $(b'_n)$ , each zero of  $g$  is one of the points  $b'_m$  for some  $m \in \mathbb{N}$ . Hence it is seen that we have  $|g(x)| \geq 1$  for every  $x \in d(0, 1^-) \setminus (\bigcup_{n=0}^{\infty} C(0, r_n))$ . For each  $n \in \mathbb{N}$ , let  $\Sigma_n = D \cap C(0, r_n)$ , let  $\tau_n = \inf_{x \in \Sigma_n} |g(x)|$ , let  $\sigma_n \in (r_n, r_{n+1}) \cap |\mathbf{K}|$ , let  $c_n \in C(0, \sigma_n)$ , and let  $u_n > \min(p, n)$  be a prime integer such that  $\tau_n (\frac{r_{n+1}}{\sigma_n})^{u_n} > n + 1$ . Since

$\lim_{n \rightarrow \infty} u_n = +\infty$ , it is seen that the infinite product  $h(x) = \prod_{n=0}^{\infty} (1 - (x/c_n)^{u_n})$  converges in

$A(d(0, 1^-))$ . Let  $D' = \Omega((c_n), \rho)$  and let  $D'' = D' \cap D$ . Let  $h(x) = \sum_{n=0}^{\infty} \lambda_n x^n$  and, for each

$r \in (0, 1)$ , let  $M(r) = \sup_{n \in \mathbb{N}} |\lambda_n| r^n$ . Each pole of  $h$  is simple and is of the form  $\zeta c_n$  with  $\zeta \in \mathcal{G}(u_n)$ . Hence it is seen that  $h$  satisfies  $|h(x)| \geq M|x|/\rho$  for all  $x \in D'$ . Hence if

$x \in D'' \setminus (\bigcup_{n=0}^{\infty} \Sigma_n)$ , then we have

$$|g(x)h(x)| = M(r_n)\tau_n \geq (\frac{r_n}{r_{n-1}})^{u_{n-1}} \tau_n > n \text{ and finally we have}$$

$$(7) \quad \lim_{\substack{|x| \rightarrow 1 \\ x \in D''}} |g(x)h(x)| = +\infty.$$

Now let  $(b''_n)$  be the sequence of the zeros of  $g$ . Clearly  $(b''_n)$  satisfies (1) and (4) and also satisfies  $|b''_n - b'_m| = \max(|b''_n|, |b'_m|)$  whenever  $n, m \in \mathbb{N}$  and  $|b''_n - b''_m| = \max(|b''_n|, |b''_m|)$  whenever  $n \neq m$ . Now we put  $b_{2n} = b'_n$  and  $b_{2n+1} = b''_n$ . The sequence  $(b_n)$  clearly satisfies (1), (2), (3), (4) and also satisfies (5) because the zeros of  $h$  are the  $b''_n$  while those of  $g$  are the  $b'_n$ . Thus the zeros of  $f$  are just the  $b_n$ , and then, by (7), we have  $\lim_{\substack{|x| \rightarrow 1 \\ x \in \Omega(b_n)}} |f(x)| = +\infty$ .

This ends the proof of Lemma 8.

**Proof of Theorem 4.** Without loss of generality we may obviously assume  $|\alpha_n| \leq |\alpha_{n+1}|$  whenever  $n \in \mathbb{N}$ . Let  $\rho = |\alpha_0|$ . Hence by hypothesis each disk  $d(\alpha_q, \rho^-)$  contains no point  $\alpha_n$  for each  $n \neq q$ . Let  $D = \Omega((\alpha_n), \rho^-)$ .

For each  $n \in \mathbb{N}$ , let  $T_n$  be the hole  $d(\alpha_n, \rho^-)$  of  $D$ . Since  $|\alpha_n| = 0$ , it is shortly checked that the sequence  $(T_n, 1)$  is a  $T$ -sequence of  $D$  ([8]). Then, since  $\mathbf{K}$  is spherically complete, by [4], Theorem 4, there exists  $g \in A(d(0, 1^-))$  admitting each  $\alpha_n$  as a simple

zero and having no zero else in  $d(0, 1^-)$ . Therefore, as  $\prod_{n=0}^{\infty} |\alpha_n| = 0$ , it is seen that  $g$

satisfies  $\lim_{\substack{|x| \rightarrow 1^- \\ x \in D}} |g(x)| = +\infty$ . Now we can apply Theorem 3, which shows that the matrix

$M = \mathcal{M}(a_n)$  admits inverses  $P$ . Then the sequence  $(a_n)$  satisfies  $\sum_{n=0}^{\infty} a_n \alpha_j^n = b_j$  for every  $j \in \mathbb{N}$  and this clearly ends the proof of Theorem 4.

**Proof of Theorem 5.** By Lemma 8, there exists a regular sequence  $(\gamma_n)$  of  $d(0, 1^-)$  such that  $(\alpha_n)$  is a subsequence of  $(\gamma_n)$  together with an analytic function  $g \in A(d(0, 1^-))$  admitting each  $\gamma_m$  as a simple zero and having no other zero in  $d(0, 1^-)$ , satisfying

$\lim_{\substack{|x| \rightarrow 1^- \\ x \in \Omega(\gamma_n)}} |g(x)| = +\infty$  with  $\rho = \inf_{n \neq m} |\gamma_n - \gamma_m|$ . Then, by Theorem 3, the matrix  $M = \mathcal{M}(\gamma_n)$

admits line-vanishing inverses  $M'$  satisfying  $M(M'(\mathbf{b})) = \mathbf{b}$  for all bounded sequence

$\mathbf{b} = (b_n)$ . Let  $\mathbf{a} := (a_n) = M'(\mathbf{b})$ . Thus we have  $M(\mathbf{a}) = \mathbf{b}$  and therefore  $\sum_{n=0}^{\infty} a_n \gamma_j^n = b_j$

whenever  $j \in \mathbb{N}$ . This ends the proof of Theorem 5.

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